

1 **Different laccase detoxification strategies for ethanol**  
2 **production from lignocellulosic biomass by the**  
3 **thermotolerant yeast *Kluyveromyces marxianus* CECT 10875**

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31 **Abstract**

32           In this work, laccase enzymes were evaluated to detoxify the whole slurry from  
33 steam-exploded wheat straw. For it, two different strategies, laccase treatment before or  
34 after enzymatic hydrolysis, were employed. The detoxification efficiency was analyzed  
35 on enzymatic hydrolysis and fermentation levels by the thermotolerant yeast  
36 *Kluyveromyces marxianus*. Laccases reduced phenolic compounds without affecting  
37 weak acids and furan derivatives. A lower glucose recovery was observed when laccase  
38 treatments were carried out before enzymatic hydrolysis, phenomenon that was not  
39 showed after enzymatic hydrolysis. In contrast, both laccase treatment strategies  
40 enhanced ethanol concentrations, reducing significantly the lag phase of the yeast and  
41 allowing substrate loading increments of saccharification and fermentation broths.

42

43 **Keywords:** Ethanol; *Kluyveromyces marxianus*; Laccase detoxification; Lignocellulose;  
44 Steam explosion

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## 51 **1. Introduction**

52 Lignocellulosic biomass, including agriculture residues, forest products or  
53 energy crops, represents one of the most abundant and low cost resources for ethanol  
54 production. Its use could significantly decrease fossil fuel consumption and contribute  
55 to a green house gas emissions reduction (Hahn-Hägerdal et al., 2006). The process is  
56 based on the conversion of carbohydrates contained in lignocellulosic materials to their  
57 monomer sugars and its fermentation by microorganisms to ethanol.

58 Among different conversion processes, enzymatic hydrolysis is a promising  
59 method. Unfortunately, due to the lignocellulosic complex structure, the cellulose  
60 accessibility to enzymes is limited. Thus, a preliminary pretreatment step is required to  
61 improve the enzymatic hydrolysis and increase the fermentable sugars yields (Alvira et  
62 al., 2010). Steam explosion, a process that combines high pressures and temperatures, is  
63 one of the most commonly used pretreatment methods. During this process, extensive  
64 lignocellulosic structure alteration is produced. Lignin is redistributed and  
65 hemicellulose is partially hydrolyzed and solubilized, making cellulose more accessible  
66 to enzymes (Alvira et al., 2010). In contrast, this pretreatment generates some soluble  
67 inhibitory compounds, derived from a partial sugars and lignin degradation, which can  
68 affect enzymatic hydrolysis as well as fermentation steps (Palmqvist and Hahn-  
69 Hägerdal, 2000a; Klinke et al., 2004; Panagiotou and Olsson, 2007). The nature and  
70 concentration of these toxic compounds depend on the raw material and the harshness  
71 of the pretreatment. They are classified according to their chemical structure and  
72 include furan derivates (furfural and 5-hydroxymethylfurfural derived from pentose and  
73 hexose sugars degradation, respectively), weak acids (mainly acetic acid) and phenolic  
74 compounds from lignin (aromatic acids, alcohols and aldehydes) (Palmqvist and Hahn-  
75 Hägerdal, 2000a).

76 Several methods have been studied to reduce the effects of inhibitory  
77 compounds. Usually, the whole slurry obtained after steam explosion process is filtered  
78 and washed before enzymatic hydrolysis. However, from an economical and  
79 environmental point of view, the filtration and washing steps should be avoided since  
80 they increase both operational costs and wastewater. Moreover, the use of the whole  
81 slurry can increase the fermentable sugars concentration, obtaining a higher ethanol  
82 production (García-Aparicio et al., 2006). For these reasons, other detoxification  
83 methods have been explored (Palmqvist and Hahn-Hägerdal, 2000b; Klinke et al., 2004;  
84 Parawira and Tekere, 2011), including the use of enzymes such as laccases (Jönsson et

85 al., 1998; Larsson et al., 1999; Martín et al., 2002; Chandel, et al., 2007; Jurado et al.,  
86 2009).

87 Laccases are multicopper-containing oxidases with phenoloxidase activity,  
88 which catalyze the oxidation of substituted phenols, anilines and aromatic thiols, at the  
89 expense of molecular oxygen (Parawira and Tekere, 2011). They have been mainly used  
90 to detoxify prehydrolysates (effluents from whole slurry filtration step) from different  
91 steam-exploded biomasses (Jönsson et al., 1998; Larsson et al., 1999; Chandel, et al.,  
92 2007). Compared to other detoxification methods, the use of laccases involves  
93 substrate-specific reactions under mild conditions, fewer toxic sub-products and low  
94 energy requirements (Parawira and Tekere, 2011).

95 In spite of the knowledge gained on the use of laccases for prehydrolysate  
96 detoxification, little information is available for whole slurry. The present work studies  
97 the detoxification of whole slurry from steam-exploded wheat straw by *Pycnoporus*  
98 *cinnabarinus* and *Trametes villosa* laccases. Laccase treatments were carried out before  
99 or after saccharification step at different substrate loadings. An identification and  
100 quantification of different inhibitory compounds was carried out. The detoxification  
101 efficiency was evaluated on enzymatic hydrolysis and fermentation levels by the  
102 thermotolerant yeast *Kluyveromyces marxianus*.

103

## 104 **2. Methods**

### 105 *2.1 Enzymes*

106 Two laccases were studied: a laccase from *Pycnoporus cinnabarinus*, produced  
107 by Beldem (Belgium), and a laccase from *Tratmetes villosa*, produced by Novozymes  
108 (Denmark). Laccase activity was determined by measuring the oxidation of 5 mM 2,2'-  
109 azino-bis(3-ethylbenzothiazoline-6-sulphonic acid) (ABTS) buffered with 100 mM  
110 sodium acetate (pH 5) at 24 °C. Formation of the ABTS cation radical was monitored at  
111 436 nm ( $\epsilon_{436} = 29,300 \text{ M}^{-1} \text{ cm}^{-1}$ ).

112 A mixture NS50013 and NS50010, both produced by Novozymes (Denmark),  
113 was employed to evaluate the effect of laccase enzymes on the cellulose enzymatic  
114 hydrolysis step. NS50013 is a cellulase preparation which presents low  $\beta$ -glucosidase  
115 activity; therefore extra supplementation with NS50010, which mainly presents  $\beta$ -  
116 glucosidase activity, is typically applied in biochemical transformation processes of  
117 lignocellulosic biomass into ethanol. Overall cellulase activity was determined using

118 filter paper (Whatman No. 1 filter paper strips) and  $\beta$ -glucosidase activity was measured  
119 using cellobiose. The enzymatic activities were followed by the release of reducing  
120 sugars (Ghose, 1987).

121 One unit of enzyme activity was defined as the amount of enzyme that  
122 transforms 1  $\mu$ mol of substrate per minute.

### 123 *2.2. Raw material and steam explosion pretreatment*

124 Wheat straw, supplied by Ecocarburantes de Castilla y León (Salamanca, Spain),  
125 was used as raw material. It was milled, using a laboratory hammer mill, in order to  
126 obtain a chip size between 2 and 10 mm, and stored at room temperature until it was  
127 used.

128 The raw material was pretreated by steam explosion in a 10 L reactor at 220 °C,  
129 2.5 min, according to previous optimization studies based on optimal sugars recovery  
130 and enzymatic hydrolysis yield (Ballesteros et al., 2006). After that, one portion of  
131 recovered whole slurry was vacuum filtered with the aim of obtaining a liquid fraction  
132 or prehydrolysate and a solid fraction, which was thoroughly washed with distilled  
133 water to obtain the water insoluble (WIS) fraction.

134 Chemical composition of both raw and pretreated material (WIS fraction) was  
135 determined using the standard Laboratory Analytical Procedures for biomass analysis  
136 (LAP-002, LAP-003, LAP-004, LAP-017 and LAP-019) provided by the National  
137 Renewable Energies Laboratory (NREL, 2007). Sugars and degradation compounds  
138 contained in the prehydrolysate were also measured. Most of the sugars present in the  
139 prehydrolysate were in oligomeric form, because of that a mild acid hydrolysis (4% (v/v)  
140 H<sub>2</sub>SO<sub>4</sub>, 120 °C and 30 min) was needed for the purpose of obtaining monomeric sugars  
141 for analysis.

### 142 *2.3. Microorganism and growth conditions*

143 The thermotolerant yeast *Kluyveromyces marxianus* CECT 10875, which was  
144 selected in our laboratory (Ballesteros et al., 1991), was used to evaluate the effect of  
145 laccase enzymes in the fermentation step. Active cultures for inoculation were obtained  
146 in 100 mL Erlenmeyer flasks with 50 mL of growth medium containing 30 g/L glucose,  
147 5 g/L yeast extract, 2 g/L NH<sub>4</sub>Cl, 1 g/L KH<sub>2</sub>PO<sub>4</sub>, and 0.3 g/L MgSO<sub>4</sub> · 7H<sub>2</sub>O. After 16 h  
148 on a rotary shaker at 150 rpm and 42 °C, the preculture was centrifuged at 9000 rpm for

149 10 min. Supernatant was discarded and cells were washed once with distilled water  
150 and then dilute to obtain an inoculum level of 1 g/L (dry weight).

#### 151 *2.4. Laccase treatment of steam-exploded wheat straw*

152 The detoxification assays were performed on whole slurry. In a first set of  
153 experiments, the laccase treatments were carried out before enzymatic hydrolysis of  
154 slurry (strategy 1). The slurry was dilute with 50 mM citrate buffer pH 5 to give final  
155 concentrations, based on % (w/v) total solid content present in the slurry, of 5%, 6%  
156 and 7%. An enzyme loading of 10 IU/g (dry weight sample) of *P. cinnabarinus* or *T.*  
157 *villosa* laccases was added to dilute slurries and then incubated at 30 °C (*T. villosa*  
158 laccase) or 50 °C (*P. cinnabarinus* laccase), according to their optimal temperatures  
159 (Ibarra et al., 2006), in a rotary shaker (150 rpm) for 3 h. With the purpose to evaluate  
160 the effect of laccases on inhibitory compounds, laccase treated samples from dilute  
161 slurry at 5% (w/v) were centrifuged, and the supernatants were taken and analyzed for  
162 identification and quantification of inhibitory compounds.

163 In a second set of experiments, laccase treatments were carried out under the  
164 same conditions but after enzymatic hydrolysis of wheat straw slurry (strategy 2).

165 In both strategies, control assays were performed under the same conditions  
166 without addition of laccase. All the experiments were carried out by triplicate.

#### 167 *2.5. Enzymatic hydrolysis of wheat straw slurry and fermentation of the detoxified* 168 *hydrolysate*

169 According to laccase detoxification strategy 1, dilute slurries treated with laccase  
170 enzymes were subsequently subjected to enzymatic hydrolysis at 50 °C for 72 h in a  
171 rotary shaker (150 rpm), adding an enzyme loading of 15 FPU/g (dry weight sample) of  
172 NS50013 and 15 IU/g (dry weight sample) of NS50010. In the case of laccase  
173 detoxification strategy 2, dilute slurries were subjected to enzymatic hydrolysis, under  
174 the same conditions previously mentioned, and the resulting hydrolysates were treated  
175 with laccase enzymes as explained above. In both strategies, samples from detoxified  
176 hydrolysates were taken, centrifuged and the supernatants analyzed for glucose  
177 concentration and identification and quantification of inhibitory compounds. Enzymatic  
178 hydrolysis yields were calculated as the ratio of glucose released after 72 h of enzymatic  
179 hydrolysis divided by potential glucose (glucose content in WIS fraction and glucose  
180 and oligomeric form glucose content in prehydrolysate).

181 Detoxified hydrolysates at 5%, 6% and 7% (w/v), resulting from both laccase  
182 detoxification strategies, were supplemented with 5 g/L yeast extract, 2 g/L NH<sub>4</sub>Cl, 1  
183 g/L KH<sub>2</sub>PO<sub>4</sub>, and 0.3 g/L MgSO<sub>4</sub> · 7H<sub>2</sub>O. The pH was adjusted to 5.5 with NaOH 10 M,  
184 the temperature was reduced to 42 °C and 1 g/L (dry weight) of inoculum was added.  
185 The fermentation was performed in a rotary shaker (150 rpm) for another 72 h under no  
186 sterile conditions. Samples were taken after 3, 6, 9, 12, 15, 24, 48 and 72 h, centrifuged  
187 and the supernatants analyzed for glucose consumption and ethanol concentration.

188 In order to compare enzymatic detoxification by laccase enzymes with  
189 traditional detoxification by filtration and washing of slurry, WIS fraction at 5.2% (w/v)  
190 of substrate loading (WIS content corresponding to slurry at 7% (w/v) of total solid  
191 content, based on composition of recovered whole slurry) was submitted to enzymatic  
192 hydrolysis and fermentation steps as described above.

## 193 2.6. Analytical methods

194 Ethanol was analyzed by gas chromatography, using a 7890A GC System  
195 (Agilent Technology) equipped with an Agilent 7683B series injector, a flame ionization  
196 detector and a column of Carbowax 20 M at 85 °C. Injector and detector temperature  
197 was maintained at 175 °C.

198 Sugar concentration was quantified by high-performance liquid chromatography  
199 (HPLC) in a Waters chromatograph equipped with a refractive index detector (Waters,  
200 Mildford, MA). A CarboSep CHO-682 carbohydrate analysis column (Transgenomic,  
201 San Jose, CA) operated at 80 °C with ultrapure water as a mobile-phase (0.5 mL/min)  
202 was employed for the separation.

203 Furfural, 5-hydroxymethylfurfural (5-HMF), vanillin and syringaldehyde were  
204 analyzed by HPLC (Agilent, Waldbronn, Germany), using an Aminex ion exclusion  
205 HPX-87H cation-exchange column (Bio-Rad Labs, Hercules, CA) at 65 °C equipped  
206 with a 1050 photodiode-array detector (Agilent, Waldbronn, Germany). As mobile  
207 phase, 89% 5 mM H<sub>2</sub>SO<sub>4</sub> and 11% acetonitrile at a flow rate of 0.7 mL/min were used.

208 Formic acid, acetic acid, *p*-coumaric acid and ferulic acid were also quantified  
209 by HPLC (Waters) using a 2414 refractive index detector (Waters) and a Bio-Rad  
210 Aminex HPX-87H (Bio-Rad Labs) column maintained at 65 °C with a mobile phase (5  
211 mmol/L H<sub>2</sub>SO<sub>4</sub>) at a flow rate of 0.6 mL/min.

212 All analytical values were calculated from duplicates or triplicates and average  
213 results are shown.

214

### 215 **3. Results and discussion**

#### 216 *3.1. Pretreatment of raw material*

217           Prior to steam explosion pretreatment, wheat straw was characterized with the  
218 following composition (% dry weight): cellulose, 40.5; hemicellulose, 26.1 (xylan, 22.7;  
219 arabinan 2.1; and galactan, 1.3); lignin, 18.1; ashes, 5.1; and extractives, 14.6. After  
220 pretreatment, slurry with a total solids content of 21.56% (w/v) was recovered. WIS  
221 content of the slurry was 16.07% (w/v).

222           Table 1 summarizes WIS and prehydrolysate composition. Compared to  
223 cellulose content of untreated raw material (40.5%), pretreatment increased the cellulose  
224 proportion of WIS (66.6 %) due to the extensive solubilization and degradation of the  
225 hemicellulose fraction, as reflected the high xylose content (12 g/L) and degradation  
226 products recovered in the prehydrolysate. Among these degradation products, furfural,  
227 5-HMF, acetic acid, and formic acid were formed at highest concentration. Furfural and  
228 5-HMF are derived from pentoses (mainly xylose) and hexoses degradation,  
229 respectively. Acetic acid is formed by the hydrolysis of acetyl groups in hemicellulose  
230 and formic acid derives from furfural and 5-HMF degradation (Oliva et al., 2003). In  
231 addition, the prehydrolysate also showed low amounts of some phenolic compounds.  
232 These compounds included aldehydes such as vanillin, derived from guaiacyl propane  
233 units, and syringaldehyde, released from syringylpropane units present in lignin  
234 (García-Aparicio et al., 2006); and acids such as ferulic acid and *p*-coumaric acid, both  
235 derived from *p*-hydroxycinnamic acids, characteristic of herbaceous plants forming  
236 cross-linkages between lignin and hemicellulose (Sun and Cheng, 2002). Several  
237 authors have previously identified the same degradation products in prehydrolysates  
238 from steam-exploded wheat straw (Tomás-Pejó et al., 2009; Alvira et al., 2010).

#### 239 *3.2. Effect of laccase treatments on degradation compounds*

240           Preliminary studies were carried out to evaluate the effect of laccases on  
241 degradation compounds (Table 2). Compared to control samples, the results showed  
242 that laccases reduced strongly the phenolic content of the prehydrolysate (reduction  
243 between 88-92%). However, the concentration of weak acids and furan derivatives were  
244 not altered, in agreement with previous studies (Larsson et al., 1999; Martín et al., 2002;  
245 Chandel, et al., 2007). In contrast to laccase action, other detoxification methods have

246 shown less substrate specificity. For example, anion exchange treatment at pH 10 of  
247 dilute acid prehydrolysate from steam-exploded spruce led to more than 80% decrease  
248 of total phenolics, all weak acids and 70% of furan derivatives (Larsson et al., 1999). In  
249 the same study, the effect of adjusting the prehydrolysate to a pH of 10, using  
250 overliming (addition of  $\text{Ca}(\text{OH})_2$ ) or NaOH, only decreased by 20% furan derivatives and  
251 total phenolics, whereas weak acids were not affected (Larsson et al., 1999). Wilson et  
252 al., (1989) reported a decrease in the concentration of acetic acid, furfural and vanillin  
253 by 54%, 100% and 29%, respectively, after roto-evaporation of dilute acid  
254 prehydrolysate from steam-exploded aspen. Palmqvist et al., (1997) described the  
255 capacity of *Trichoderma reesei* to remove acetic acid, furfural and benzoic acid  
256 derivatives from the prehydrolysate obtained after steam explosion of willow. Treatment  
257 of acid sugarcane bagasse hydrolysate with activated charcoal caused 39%, 57% and  
258 47% reduction in furan derivatives, phenolics and acetic acid, respectively (Chandel et al.,  
259 2007).

260         The mechanism behind the effect obtained with laccase enzymes is documented.  
261 Laccases catalyze the oxidation of phenolic compounds generating unstable phenoxy  
262 radicals that lead to polymerization into less toxic aromatic compounds (Jönsson et al.,  
263 1998; Jurado et al., 2009). Among identified phenolic compounds, vanillin was less  
264 susceptible to laccase oxidation (Table 2), according to their higher redox potential  
265 (Camarero et al., 2005). The electron donor effect of methoxy substituents at the  
266 benzenic ring enhances laccase activity due to a decreased redox potential. In this way,  
267 vanillin, with one methoxy substituent, is slowly oxidized by laccase than  
268 syringaldehyde, which presents two methoxy groups. Moreover, laccase enzymes are  
269 more active toward *p*-hydroxycinnamic acids than simple phenols, explaining the  
270 complete removal of both ferulic acid and *p*-coumaric acid observed (Table 2). This is  
271 due to the presence of conjugated double-bond system in *p*-hydroxycinnamic acids side  
272 chains, which facilitates the electron abstraction (Camarero et al., 2008).

### 273 3.3. Effect of laccase treatments on enzymatic hydrolysis

274         An important aspect for ethanol production is the substrate loading. By  
275 increasing substrate loading during enzymatic hydrolysis leads to increased sugar  
276 content and higher final ethanol concentration after fermentation. This approach could  
277 reduce operational cost for hydrolysis and fermentation processes and minimize energy  
278 consumption during subsequent distillation and evaporation stages, making

279 lignocellulosic ethanol production economically feasible (Banerjee et al., 2009). With  
280 this purpose, the whole slurry was dilute at 5%, 6% and 7% (w/v) of total solids content  
281 and hydrolyzed before or after laccase treatments, as described above. After that,  
282 samples from control and detoxified hydrolysates, resulting from both laccase  
283 detoxification strategies, were analyzed for glucose concentration and identification and  
284 quantification of inhibitory compounds (Table 3 and 4). As expected for control  
285 samples, the concentration of glucose increased as a function of substrate concentration,  
286 and the highest glucose concentration (32.3 g/L and 31.9 g/L under the strategies 1 and  
287 2, respectively) were obtained after 72 h of enzymatic hydrolysis at 7% (w/v) (Table 3).  
288 In contrast, higher amounts of degradation compounds were observed (Table 4). These  
289 compounds not only are present in the prehydrolysates, they can also be trapped in the  
290 pretreated biomass and be released during its enzymatic hydrolysis or washing (García-  
291 Aparicio et al., 2006; Gurram et al., 2011).

292         The cumulative concentration of degradation compounds can result in a major  
293 inhibition effects on enzymatic hydrolysis. Among the different compounds identified  
294 herein (Table 4), the phenolic compounds have been described to be an important cause  
295 of reduced both rate and yields of cellulose hydrolysis (Ximenes et al., 2010; Ximenes  
296 et al., 2011). Vanillin and syringaldehyde inhibit cellulose enzymes, especially  $\beta$ -  
297 glucosidases, whereas ferulic acid and *p*-coumaric acid deactivate them (Ximenes et al.,  
298 2010; Ximenes et al., 2011). Nevertheless, the rest of identified degradation compounds  
299 as well as end-sugars accumulation have been also shown to be inhibitory to cellulose  
300 enzymes (García-Aparicio et al., 2006; Panagiotou and Olsson, 2007). In spite of these  
301 inhibitory effects reported, the increments of degradation compounds observed at higher  
302 substrate loadings did not affect strongly the yields of cellulose hydrolysis, showing  
303 yields of 81% and 79% at 5% (w/v) and 7% (w/v) of enzymatic hydrolysis, respectively.

304         Laccase treatments reduced strongly the phenolic content of the different dilute  
305 hydrolysates (Table 4). The highest reduction (93-94%) was achieved when laccase  
306 treatments were carried out before enzymatic hydrolysis. However, laccase treatments  
307 after enzymatic hydrolysis produced lower removal rates (73-84%). As observed above,  
308 in both strategies vanillin was again the less susceptible to laccase action.

309         The glucose recovery did not improve when laccase treatments were carried out  
310 before enzymatic hydrolysis, despite the great phenolic content reduction observed. On  
311 the contrary, the laccase treatments resulted in a slightly lower glucose recovery (Table  
312 3). Contradictory results have been reported in this matter. Tabka et al. (2006) and

313 Jurado et al. (2009) described a lower glucose recovery after enzymatic hydrolysis of  
314 steam-exploded wheat straw treated with laccase, phenomenon that attributed to the  
315 release of certain phenolic compounds by laccases inhibiting cellulolytic enzymes.  
316 Martín et al. (2002) observed a similar phenomenon when steam-exploded sugarcane  
317 bagasse was treated with laccase enzymes. Other detoxification methods, such as anion  
318 exchange treatment at pH 10 and the use of fungus *Trichoderma reesei*, have also  
319 shown a considerable loss of fermentable sugars (Palmqvist et al., 1997; Larsson et al.,  
320 1999). On the other hand, laccase treatment of steam-exploded softwood improved the  
321 glucose yield obtained during cellulose hydrolysis (Palonen and Viikari, 2004),  
322 enhancement that could be due to removal of inhibitory compounds or reduction of non-  
323 productive binding of cellulolytic enzymes to lignin. Our results together with the  
324 information found in the literature make necessary further studies to understand the  
325 influence of laccase enzymes on enzymatic hydrolysis.

326 In contrast to strategy 1, laccase treatment after enzymatic hydrolysis did not  
327 affect the glucose content detected in the hydrolysates, attaining the same glucose  
328 content in both control and treated laccase samples (Table3).

#### 329 3.4. Effect of laccase treatments on fermentation

330 Control and detoxified hydrolysates at 5%, 6% and 7% (w/v) of total solids  
331 content, resulting from both laccase detoxification strategies, were submitted to  
332 fermentation process using the thermotolerant yeast *Kluyveromyces marxianus* CECT  
333 10875, a strain adapted and selected in our laboratory (Ballesteros et al., 1991). This  
334 yeast, together with other strains recently discovered (Kwon et al., 2011), is gaining  
335 great significance due to its capability of growing and fermenting at temperature above  
336 40 °C, close to the optimum temperatures of enzymatic hydrolysis, which can lead to an  
337 integration of both enzymatic hydrolysis and fermentation processes. The fermentation  
338 step was performed for 72 h, taking samples at different times for glucose consumption  
339 and ethanol production analyses.

340 Time courses for glucose consumption and ethanol production during  
341 fermentation process of control and detoxified hydrolysates at different total solids  
342 content are illustrated in Fig. 1 (strategy 1) and 2 (strategy 2). As seen in both strategies  
343 for control samples, *K. marxianus* showed slower glucose consumption and ethanol  
344 production rates with increasing total solids content. In the case of strategy 1,  
345 fermentation of control samples at 5% (w/v) consumed glucose and produced ethanol

346 much faster (12 h) than 6 % (w/v) (24 h). Similar pattern, thought more extended, was  
347 observed for strategy 2. This phenomenon is due to the extension of the yeast lag phase,  
348 period that the microorganism needs to adapt to fermentation conditions and which  
349 duration is related with the inhibitory compounds type and their concentrations  
350 (Palmqvist and Hahn-Hägerdal, 2000a; Klinke et al., 2004). At 7% (w/v) of substrate  
351 loading the yeast was practically inhibited in both strategies and neither glucose  
352 consumption nor ethanol production was observed after 72 h of fermentation. Moreover,  
353 the ethanol yields decreased, from 0.36 g/g at 5% (w/v) to 0.32 g/g at 6% (w/v) for  
354 strategy 1 and from 0.36 g/g at 5% (w/v) to 0.30 g/g at 6% (w/v) for strategy 2; and  
355 barely ethanol production was observed at 7% (w/v) (Table 3). All these negative  
356 effects could be explained by the increments of degradation compounds at higher  
357 substrate loadings (Table 4), which could affect negatively the yeast fermentation  
358 performance by cumulative concentration of them. Nevertheless, in previous  
359 experiments the inhibitory compounds concentrations found herein did not show  
360 negative effects on *K. marxianus* (Oliva et al., 2003). For this reason, probably  
361 inhibition by synergistic effects between different degradation compounds could also  
362 occur (Palmqvist and Hahn-Hägerdal, 2000a; Klinke et al., 2004; Panagiotou and  
363 Olsson, 2007; da Cunha-Pereira et al., 2011).

364         The inhibitory effects observed, together with reduction in the specific yeast  
365 growth rate and decrease in biomass production, have been reported on different  
366 fermenting microorganisms (Palmqvist and Hahn-Hägerdal, 2000a; Klinke et al., 2004).  
367 Regarding *K. marxianus*, several negative effects have been attributed to different  
368 degradation compounds, either individually (Oliva et al., 2003) or combined (Oliva et  
369 al., 2006). Furfural showed a strong inhibition of growth and ethanol production (Oliva  
370 et al., 2003; Oliva et al., 2006), due to a direct inhibition of both glycolytic and non-  
371 glycolytic enzymes of the yeast (Palmqvist and Hahn-Hägerdal, 2000a; Klinke et al.,  
372 2004). On the other hand, *K. marxianus* was resistant to HMF (Oliva et al., 2003). Weak  
373 acids, such as acetic acid and formic acid, produced negative effects mainly on biomass  
374 production (Oliva et al., 2003; Oliva et al., 2006), as a result of a disruption of  
375 intracellular pH by its accumulation in the yeast cells (Palmqvist and Hahn-Hägerdal,  
376 2000a; Klinke et al., 2004). Nevertheless, fermentation pH conditions used in this study  
377 (pH 5.5) reduce considerably the toxicity of these acids (Oliva et al., 2003). Vanillin  
378 and syringaldehyde showed similar inhibitory effects than furfural (Oliva et al., 2003;  
379 Oliva et al., 2006), although different inhibition mechanisms. They produce cell damage

380 and direct interferences with biological membranes, which affect their ability to serve as  
381 selective barriers and enzymes matrices (Palmqvist and Hahn-Hägerdal, 2000a; Klinke  
382 et al., 2004). Finally, inhibitory effects of *p*-coumaric acid and ferulic acid have been  
383 described on *Saccharomyces cerevisiae* (Almeida et al., 2007), but not on *K. marxianus*.  
384 Similar to vanillin and syringaldehyde, they may act on biological membranes, causing  
385 loss of integrity.

386 Both laccase detoxification strategies enhanced the fermentation performance of  
387 *K. marxianus*, showing better fermentation parameters when laccase treatments were  
388 carried out before enzymatic hydrolysis. The barley phenolic content of laccase  
389 detoxified hydrolysates resulted in a shortening of *K. marxianus* lag phase. It can be  
390 inferred from faster glucose consumption and ethanol production rates obtained, as  
391 illustrated for different tested substrate loadings in Fig. 1 and 2, and higher ethanol  
392 volumetric productivity values achieved (Table 3). Moreover, laccase treatments  
393 enhanced the ethanol yields (Table 3). At 5% (w/v) of total solids content this  
394 improvement was very slight, from 0.36 g/g for control samples to 0.37-0.38 g/g for  
395 laccase detoxified samples. However, at 6% (w/v) the yield increases were more  
396 pronounced, from 0.32 g/g for control samples to 0.37-0.38 g/g for laccase detoxified  
397 samples in strategy 1; and from 0.30 g/g for control samples to 0.35 g/g for laccase  
398 detoxified samples in strategy 2. Finally, at 7% (w/v) of substrate loading laccase  
399 treatments triggered the fermentation of hydrolysates by *K. marxianus*, and highest  
400 ethanol concentrations, close to 11 g/L (Table 3), were obtained. These results are  
401 comparable to fermentation parameters of yeast when hydrolysate from WIS fraction at  
402 5.2% (w/v) of substrate loading was used, obtaining both ethanol yield and  
403 concentration in the same range (Table 3). Nevertheless, faster glucose consumption  
404 and ethanol production rates were observed (Fig. 3) and higher ethanol volumetric  
405 productivity values achieved (Table 3) with the filtration and washing of slurry.

406 Similar enhancements of fermentation performances have been described with  
407 other microorganisms on different laccase detoxified materials types. Jönsson et al.  
408 (1998) and Larsson et al. (1999) reported higher glucose consumption rates, ethanol  
409 volumetric productivities, and ethanol yields when prehydrolysates from steam-  
410 exploded wood were submitted to laccase treatments and fermented with *S. cerevisiae*.  
411 In the same way, Martín et al. (2002) described similar improvements when enzymatic  
412 hydrolysates from steam-exploded sugarcane bagasse were treated with laccase  
413 enzymes and fermented using a strain recombinant xylose-utilising of *S. cerevisiae*; and

414 Chandel et al. (2007) when acid hydrolysates from sugarcane bagasse were detoxified  
415 by laccases and fermented with *Candida shehatae*.

416 Only detoxification by anion exchange treatment at pH 10, overliming and  
417 activated charcoal have resulted in higher ethanol yields and productivities than laccase  
418 treatment (Larsson et al., 1999; Martín et al., 2002; Chandel et al., 2007). However,  
419 these methods need additional operations that increase the processing costs. In contrast,  
420 laccases offer the possibility to be used directly, before or after enzymatic  
421 saccharification, in the fermentation vessel prior to fermentation, as shown in this study.  
422 Nevertheless, the integration level should proceed towards a one single step that  
423 integrates the laccase detoxification, saccharification and fermentation processes. With  
424 this purpose, new studies are ongoing.

425

#### 426 **4. Conclusions**

427 A substantial removal of phenolic compounds by laccases reduced the inhibitory  
428 effects of slurry from steam-exploded wheat straw. It led to improve the fermentation  
429 performance of *K. marxianus* strain used, shortening its lag phase and enhancing the  
430 ethanol yields, and increase the substrate loadings of saccharification and fermentation  
431 broths. These enhancements were more significant when laccase treatment was carried  
432 out before enzymatic hydrolysis, in spite of decreased glucose recovery. According to  
433 this study, detoxification by laccases could reduce costs of lignocellulosic ethanol  
434 process through the use of partially detoxified whole slurry and increasing higher  
435 fermentation rates and ethanol yields.

436

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442

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- 543

544 **Table captions**

545 **Table 1.** Composition of steam-exploded wheat straw.

546

547 **Table 2.** Inhibitory compounds composition of samples resulting from control and laccase  
548 treated 5% (w/v) slurries.

549

550 **Table 3.** Summary of hydrolysis and fermentation assays after different laccase detoxification  
551 strategies.

552

553 **Table 4.** Inhibitory compounds composition of hydrolysates resulting from control and laccase  
554 treated dilute slurries.

555

556

557

558 **Figure captions**

559 **Figure 1.** Time course for ethanol production (filled symbols and continuous lines) and glucose  
560 consumption (open symbols and discontinuous lines) during fermentation of hydrolysates  
561 resulting from detoxification strategy 1. Symbols used: *P. cinnabarinus* (▲, △); *T. villosa* (●,  
562 ○); and control samples (■, □). Dilute slurry at 5% (A), 6% (B) and 7% (w/v) (C) of  
563 substrate loading.

564

565 **Figure 2.** Time course for ethanol production (filled symbols and continuous lines) and glucose  
566 consumption (open symbols and discontinuous lines) during fermentation of hydrolysates  
567 resulting from detoxification strategy 2. Symbols used: *P. cinnabarinus* (▲, △); *T. villosa* (●,  
568 ○); and control samples (■, □). Dilute slurry at 5% (A), 6% (B) and 7% (w/v) (C) of  
569 substrate loading.

570

571 **Figure 3.** Time course for ethanol production (filled symbols and continuous line) and glucose  
572 consumption (open symbols and discontinuous lines) during fermentation of hydrolysates from  
573 WIS fraction at 5.2% (w/v) of substrate loading.

574

575 **Table 1**

576

577 Table 1. Composition of steam-exploded wheat straw.

<b>WIS</b>		<b>Prehydrolysate</b>				
<b>Component</b>	<b>% Dry weight</b>	<b>Monosaccharides</b>	<b>Monomeric form (g/L)</b>	<b>Oligomeric form (g/L)</b>	<b>Inhibitors</b>	<b>g/L</b>
Cellulose	66.6	Glucose	0.98	3.49	Furfural	3.5
Hemicellulose	1.95	Xylose	3.94	8.07	5-HMF	1.1
Lignin	36.7	Arabinose	0.27	0.20	Acetic acid	11.9
		Galactose	0.31	0.19	Formic acid	9.3
		Mannose	0.09	0.38	Vanillin	0.05
					Syringaldehyde	0.03
					<i>p</i> -Coumaric acid	0.02
				Ferulic acid	0.03	

578

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580

581 **Table 2**

582

583 Table 2. Inhibitory compounds composition of samples resulting from control and laccase treated 5%  
584 (w/v) slurries.

Inhibitors	Slurry 5% (w/v)		
	C	PC	TV
Furfural	427	395	395
5-HMF	158	125	124
Acetic acid	2024	1852	1830
Formic acid	1508	nq	1309
Vanillin	21	9	6
Syringaldehyde	10	0	0
<i>p</i> -Coumaric acid	17	0	0
Ferulic acid	26	0	0

585 nq, not quantified; C, control samples; PC, *P. cinnabarinus* laccase treated samples; TV, *T. villosa* laccase  
586 treated samples; concentrations expressed as mg/L.

587

588

589

590 **Table 3**

591

592 Table 3. Summary of hydrolysis and fermentation assays after different laccase detoxification strategies.

Empty Cell	Substrate loading (w/v)	Sample	EtOH <sub>M</sub> (g/L)	Glu (g/L)	Y <sub>E/G</sub> (g/g)	Q <sub>E</sub> (g/L h)
S1	5%	C	8.1	22.6	0.36	0.47 <sup>□</sup>
		PC	8.0	21.4	0.37	0.89 <sup>□</sup>
		TV	8.1	21.5	0.38	0.89 <sup>□</sup>
	6%	C	8.8	27.0	0.32	0.13 <sup>†</sup>
		PC	8.9	23.4	0.38	0.71 <sup>†</sup>
		TV	9.5	25.8	0.37	0.77 <sup>†</sup>
	7%	C	1.3	32.3	0.04	0.05 <sup>‡</sup>
		PC	9.8	26.6	0.37	0.40 <sup>‡</sup>
		TV	10.8	30.4	0.36	0.45 <sup>‡</sup>
S2	5%	C	8.1	22.4	0.36	0.16 <sup>†</sup>
		PC	8.3	22.8	0.37	0.69 <sup>†</sup>
		TV	8.5	22.5	0.38	0.45 <sup>†</sup>
	6%	C	8.2	27.5	0.30	0.05 <sup>‡</sup>
		PC	9.5	27.4	0.35	0.40 <sup>‡</sup>
		TV	9.5	27.4	0.35	0.39 <sup>‡</sup>
	7%	C	0.8	31.9	0.03	0.02 <sup>§</sup>
		PC	10.4	31.7	0.33	0.22 <sup>§</sup>
		TV	10.9	30.9	0.35	0.23 <sup>§</sup>
WIS			10.7	29.2	0.36	1.69 <sup>*</sup>

593 S1, strategy 1; S2, strategy 2; C, control samples; PC, *P. cinnabarinus* laccase treated samples; TV, *T.*  
594 *villosa* laccase treated samples; EtOH<sub>M</sub>, maximum ethanol concentration; Glu, glucose produced after  
595 72 h of enzymatic hydrolysis; Y<sub>E/G</sub>, ethanol yield based on the glucose produced after 72 h of enzymatic  
596 hydrolysis; Q<sub>E</sub>, volumetric ethanol productivity based on time when maximum ethanol concentration is  
597 achieved: 6 h (\*), 9 h (□), 12 h (†), 24 h (‡) and 48 h (§); WIS fraction at 5.2% (w/v) of substrate loading  
598 (WIS content corresponding to slurry at 7% (w/v) of total solid content, based on composition of  
599 recovered whole slurry).  
600

601

602

603

604 Table 4

605

606 Table 4. Inhibitory compounds composition of hydrolysates resulting from control and laccase treated  
607 dilute slurries.

Empty Cell	Inhibitors	Slurry 5% (w/v)			Slurry 6% (w/v)			Slurry 7% (w/v)		
		C	PC	TV	C	PC	TV	C	PC	TV
S1	Furfural	534	448	511	621	617	536	631	600	537
	5-HMF	168	152	161	201	177	189	233	206	217
	Acetic acid	2474	2355	2334	2947	2913	2896	3538	3445	3418
	Formic acid	1454	nq	1370	1764	nq	1709	2093	nq	2038
	Vanillin	26	3	3	27	4	3	29	4	4
	Syringaldehyde	12	0	0	13	0	0	14	0	0
	<i>p</i> -Coumaric acid	24	0	0	26	0	0	28	0	0
	Ferulic acid	46	0	0	48	0	0	49	0	0
S2	Furfural	670	608	624	833	778	793	911	876	846
	5-HMF	168	166	164	206	201	199	235	230	231
	Acetic acid	2692	2605	2612	3368	3247	3270	3863	3786	3769
	Formic acid	1500	nq	1446	1879	nq	1730	2171	nq	2107
	Vanillin	22	16	9	28	15	11	31	14	10
	Syringaldehyde	10	0	0	15	0	0	16	0	0
	<i>p</i> -Coumaric acid	27	0	0	30	0	0	38	0	0
	Ferulic acid	35	0	0	61	0	0	66	0	0

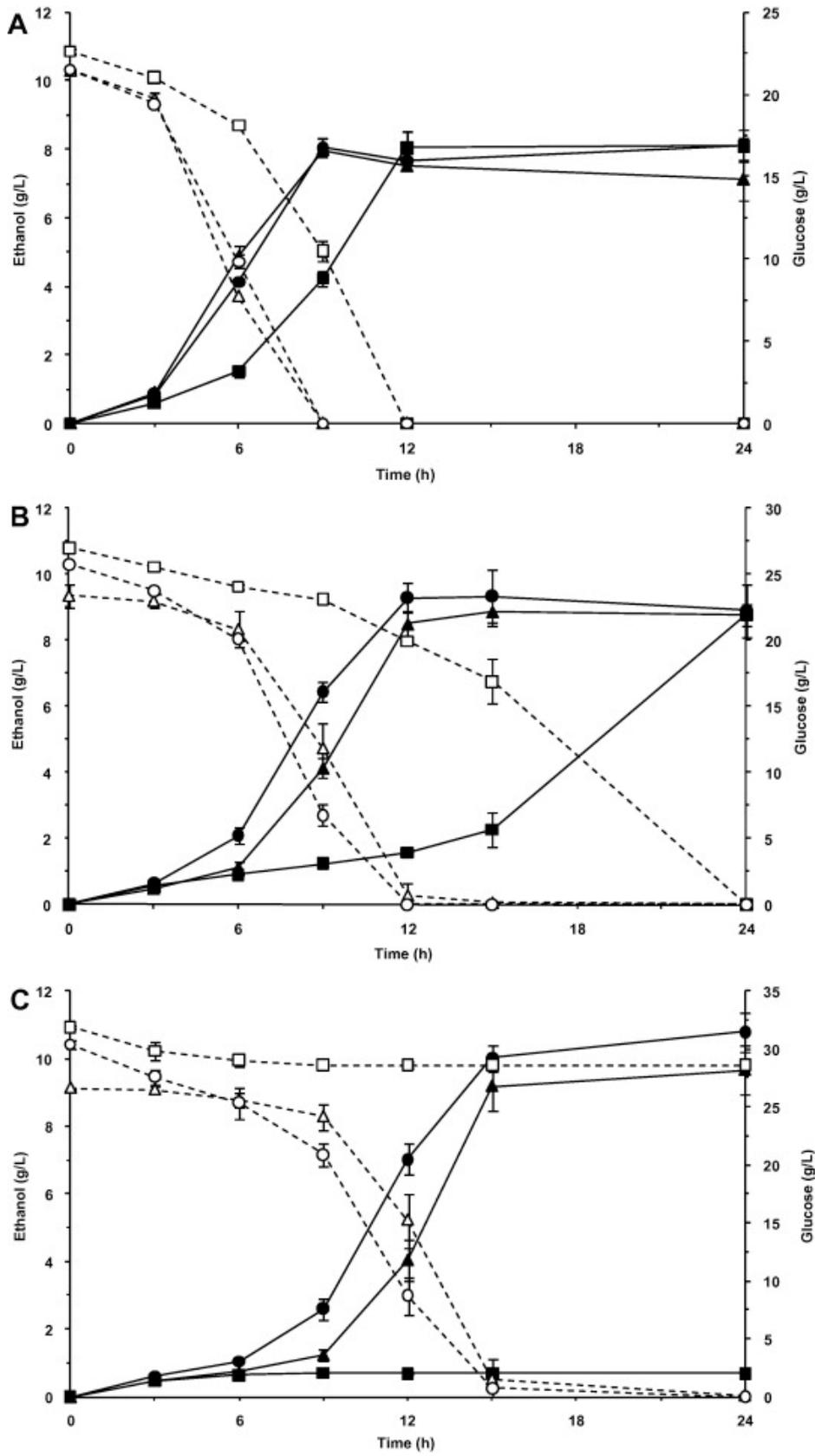
608 S1, strategy 1; S2, strategy 2; C, control samples; PC, *P. cinnabarinus* laccase treated samples; TV, *T.*  
609 *villosa* laccase treated samples; nq, not quantified; concentrations expressed as mg/L.

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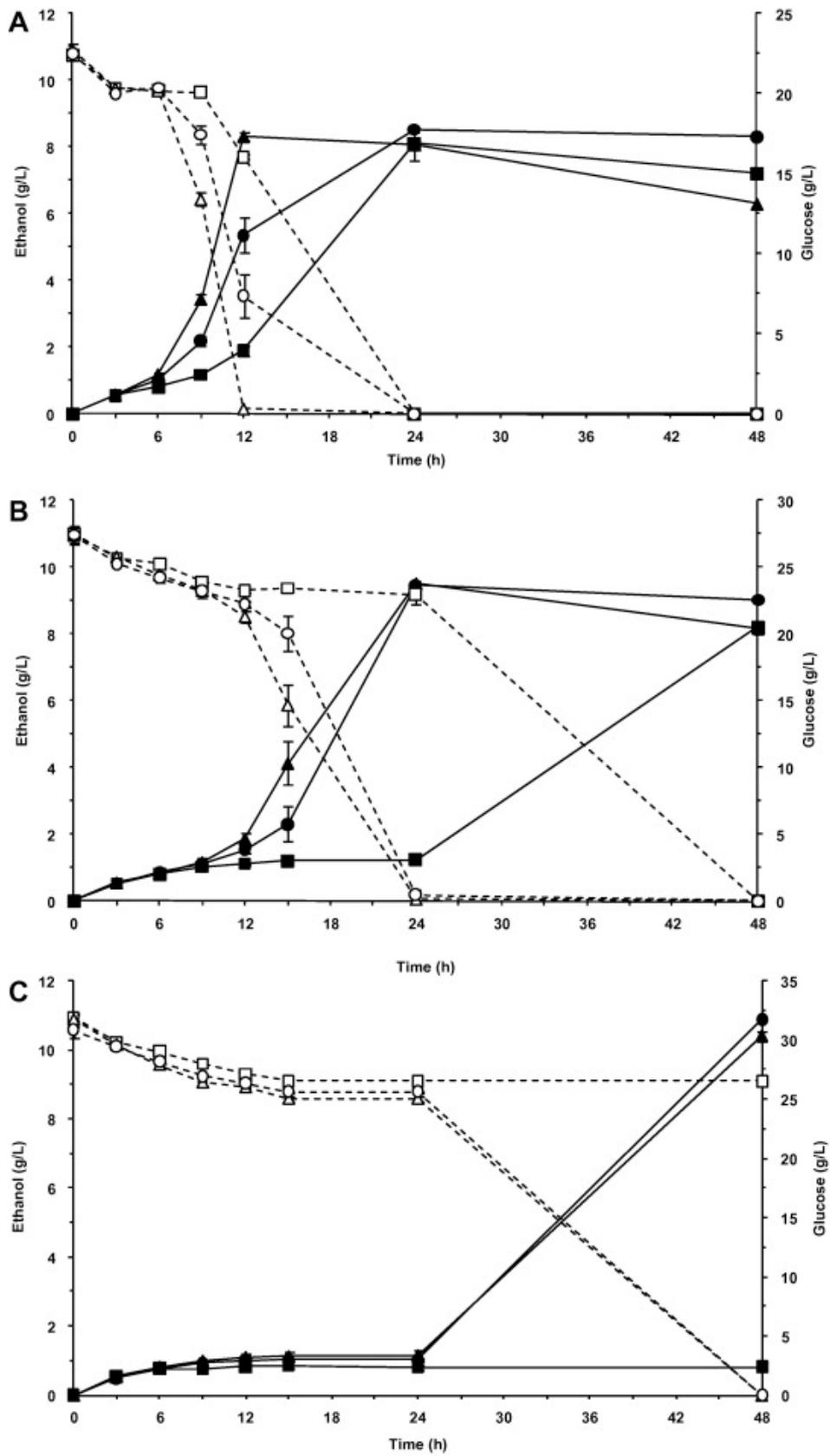
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613 Figure 1



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617 Figure 2

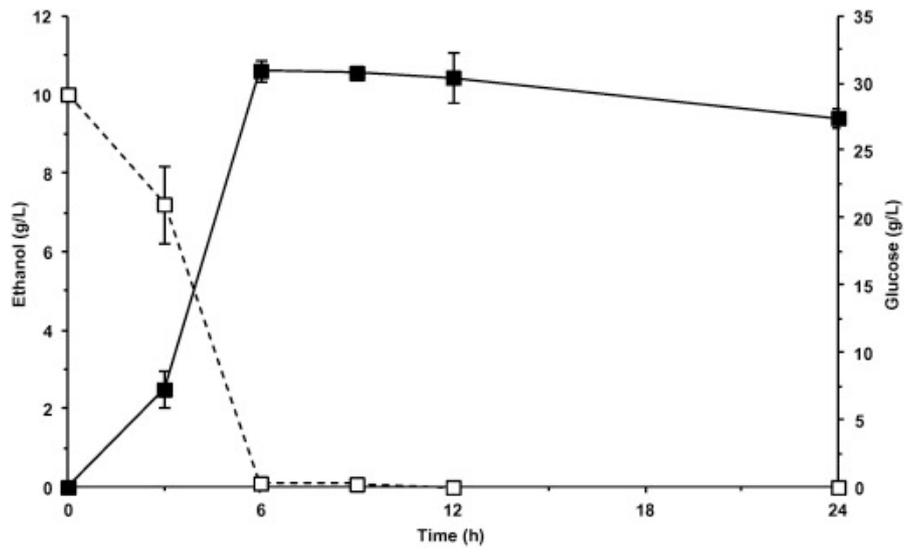


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621 Figure 3



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