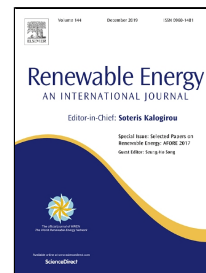


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Processing of extracted olive oil pomace residue by hydrothermal or dilute acid pretreatment and enzymatic hydrolysis in a biorefinery context

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1 ABSTRACT

2 In this work, a residue from olive oil industry, i.e., extracted dry olive pomace (EOP) is studied
3 for valorization into fermentable sugars and other added-value compounds. EOP processing is
4 based on a first water extraction step at 100°C during 30 min, followed by Liquid Hot Water
5 (170, 190°C and 210°C) or dilute acid (DA) pretreatment [same temperatures in sulfuric acid 1%
6 and 2% (w/v)], and enzymatic hydrolysis (EH) at laboratory scale using commercial enzymes.
7 The results show that the water-extraction step allows extracting valuable compounds as
8 mannitol and phenols that can contribute significantly to EOP valorization. The pretreatments
9 tested are found to be effective to fractionate EOP biomass and facilitate sugar EH, although
10 DA performs comparatively better, providing the maximum overall process yield considering
11 both glucose and xylose sugars (85 and 62% of sugar content in raw EOP) at 170°C and 2%
12 acid.

13 **Keywords:** lignocellulosic residue; revalorization; olive-oil industry; extraction; pretreatment;
14 fermentable sugar

15 1. INTRODUCTION

16 Olive oil production is a key industry in the agroindustrial sector of the Mediterranean countries
17 owing to the high number and capacity of olive processing facilities and the large surface area
18 dedicated to olive tree cultivation. Recent estimations from FAOSTAT [1], show that specifically
19 in Spain, close to 2.5 Mha of the olive crop were cultivated in 2016, representing 24.2 % of total
20 worldwide production, and positioning the country as the leader in olive tree cultivation. The
21 olive oil production process generates several residues or by-products, i.e., olive pomace (OP)
22 and extracted dry olive pomace (EOP), generated in olive oil processing industries, and olive
23 leaves (OL) from cleaning operations in olive mills. Even though some of these residues,
24 particularly EOP, are partially used for energy production in the same or related facilities [2], a
25 promising alternative would be to use them to produce high-added value products in energy and

26 food markets within a biorefinery concept associated with the olive oil industry. [3]. This concept
27 could be broadened to include the main residue generated by the olive crop, i.e., olive tree
28 pruning (OTP) biomass, thus resulting in an integrated biorefinery around olive cultivation and
29 olive oil production [4,5].

30 Olive pomace (OP), which represents the main residue of the olive oil extraction process by
31 weight, differs in composition depending on the production process (two or three-phase). In the
32 two-phase separation mode, which is mostly used in Spain, OP is a thick sludge with 55-70% of
33 moisture, compared to 40-45% of the residue from the three-phase operation [6], and pH 4.9-
34 6.8 [7]. Currently, in Spain OP is further processed in extracting industries to remove the
35 residual oil, i.e., the “pomace oil”. This extraction can be carried out with solvents, such as
36 hexane, in the traditional system, or through physical extraction or centrifugation. The solid
37 residue is called “extracted or dry pomace” (EOP) and so far has only been used as solid fuel
38 for heat or power generation. A scheme of EOP generation in pomace oil extracting industries
39 following the traditional system is shown in Figure 1.

40 According to Manzanares et al. [8], EOP generated in Spain was estimated at 1,181,274
41 tonnes/campaign, as an average of the campaigns 2012/13, 2013/14 and 2014/15, with 89% of
42 national production in Andalusia. EOP is used to some extent as solid fuel to generate heat
43 and/or electricity in the pomace oil extraction industry and/or olive mill. Data provided by the
44 regional government of Andalusia on EOP utilization for energy production shows a surplus of
45 about 25% of the residue generated annually that would be available for other purposes [9].
46 Therefore, considering this availability and its origin, there is every reason to employ it with its
47 qualities as feedstock to obtain high added value products within a biorefinery concept
48 integrating all residues generated in the olive sector.

49 To address this different approach on EOP, firstly, and due to the lignocellulosic nature of this
50 biomass residue, a pretreatment process is necessary to fractionate biomass into its main
51 constituents and facilitate the breakdown of carbohydrates into monomeric sugars. The sugars
52 released could be further converted into biofuels, such as ethanol or other building block

53 chemicals, by biological processes within an appropriate biorefinery scheme [8]. Fernandes et al.
54 [10] have recently reported on the use of EOP as biomass feedstock focused on ethanol
55 production after acid and alkaline pretreatment and EH of pretreated material. The authors
56 studied several fermentation strategies and found relatively low ethanol production yields,
57 claiming the necessity to address further studies to improve these results.

58 There is extensive literature on different pretreatment methods to fractionate lignocellulosic
59 biomass, with different results depending on the characteristics of the biomass and process
60 conditions applied [11, 12, 13]. In this work, hydrothermal pretreatment LHW and dilute acid
61 (DA) have been selected for EOP fractionation, in experiments carried out at laboratory scale.
62 Although both hydrothermal pretreatments have been shown to be effective as methods to alter
63 lignocellulosic biomass and favor the carbohydrates breakdown and release of sugars, they
64 present differences in the operation conditions and in the main effects produced that is
65 interesting to consider in the selection of one or another procedure. As a summary, Table 1
66 below shows the main advantages and disadvantages of both methods, based on information
67 compiled by the authors.

68 LHW pretreatment, in which pressure is utilized to maintain water in the liquid state at elevated
69 temperatures (150 to 240 °C), has been reported to successfully breakdown lignocellulose
70 structure in biomass materials, such as, in example, poplar [14], wheat straw [15] and olive
71 pomace [16], among others. This hydrothermal pretreatment method has been reported to
72 solubilize a large portion of hemicellulose while minimizing cellulose hydrolysis [17, 14].

73 Recently, Capoluco and Faraco [13] have evaluated the most commonly applied “green
74 pretreatment processes” used to fractionate lignocellulosic biomass within a biorefinery concept,
75 including LHW. They highlight the advantages of this method such as high sugar recovery after
76 EH, no need of biomass size reduction, low reactor costs and less inhibitory compounds than
77 other methods.

78 On the other hand, DA pretreatment, which is generally performed using 0.2-2% (w/w) sulphuric
79 acid at temperatures of 140-210°C, has been extensively used to pretreat a wide range of

80 lignocellulosic biomass and proved to be very effective to deconstruct lignocellulose, converting
81 hemicellulose to monosaccharides. According to Gonzales et al. [18], the operating
82 conditions, i.e., reaction time, temperature, acid concentration, and solid/liquid (S/L) ratio of the
83 biomass and acid solution, would affect the efficiency of dilute acid pretreatment significantly.
84 In this work, LHW and DA pretreatment of EOP is studied at laboratory conditions, as a first
85 approach to the processing of EOP for sugars and other valuable compounds production via
86 pretreatment and EH. To assess pretreatment efficacy, the enzymatic digestibility of LHW and
87 DA pretreated EOP is evaluated, together with sugar recovery in the liquid fraction obtained
88 after filtration of the pretreated slurry. Both have been reported to be among the principal
89 determinants in pretreatment efficacy [19]. Moreover, the generation of sugar degradation
90 compounds such as furfural and hydroxymethyl furfural (HMF) and the release of acetic acid
91 and phenolic substances to liquid streams is checked along the process.
92 Preliminary experiments carried out by the authors on this material have shown the presence of
93 significant amount of water-extractable compounds and thus, a previous aqueous step at mild
94 temperature before pretreatment is envisaged to promote efficient valuable compounds
95 recovery and minimize products degradation. This approach has been proved to be successful
96 to improve overall sugar recovery in similar origin-related residues such as OTP [20].
97 After testing the different steps proposed to fractionate EOP into main constituents and quantify
98 the amount of sugars and other valuable compounds generated along the process, several
99 parameters are calculated to estimate the overall fractionation process efficiency. As a result,
100 the best processing conditions leading to a maximum recovery of compounds of interest are
101 selected and an overall mass balance calculated.

102

103 **2. MATERIALS AND METHODS**

104 2.1 Raw and processed EOP composition analysis

105 Firstly, the study of the chemical composition of EOP was addressed by analyzing a bulk
106 sample from a pomace oil extracting industry (Oleocastellar, Jaen, Spain), following the
107 methodology described by Slutier et al. [21] to quantify extractives, carbohydrates, lignin, ash
108 and minor components. All solid EOP samples generated along processing steps were
109 analyzed for main components following the same methodology than for raw EOP.

110 2.2 EOP processing

111 2.2.1. Water extraction

112 A homogeneous batch of EOP was submitted to aqueous extraction in autoclave at 15% (w/v)
113 solids concentration, 100°C and 30 minutes. After elapsed time, wet material was vacuum
114 filtered to obtain a fraction of water-extracted EOP (EOP_{WE}) and an aqueous extract (WE).
115 EOP_{WE} was submitted to composition analysis as described above for raw EOP, and WE was
116 analyzed as described below for soluble sugars, mannitol and total phenols content. Solid
117 recovery yield after water extraction (SRY_{WE}) was calculated as dry weight of water-extracted
118 solid EOP referred to 100 g of raw material.

119 2.2.2 Liquid Hot Water (LHW) and dilute acid (DA) pretreatment

120 LHW pretreatment was performed on EOP_{WE} in a laboratory-scale stirred autoclave (Model
121 EZE-Seal; Autoclave Engineers, Erie, PA) at 170, 190 and 210°C (elapsed time until reaching
122 target temperature; heating rate ranged between 2 °C and 4 °C/min). DA experiments were
123 carried out in the same reactor using a diluted acid solution at 1 and 2% (w/v) of sulphuric acid
124 as the catalyst. The amount of loaded feedstock corresponded to 100 g EOP_{WE} (dry weight
125 basis, hereinafter dwb) and water/diluted acid was added at 1/5 (w/v) solid/liquid ratio. After
126 reaching the target temperature, the reactor was kept sealed and the slurry was agitated until
127 the reactor was cooled down to about 40°C (in approximately 7 minutes). Wet material was
128 vacuum filtered to obtain an insoluble solid fraction of LHW or DA-pretreated EOP ($EOP_{LHW \text{ or } DA}$)
129 and a liquid fraction or prehydrolyzate ($PH_{LHW \text{ or } DA}$). Solid recovery yield ($SRY_{LHW \text{ or } DA}$) was

130 calculated as EOP_{WE} dry weight remaining after pretreatment referred to 100 g of EOP_{WE} .
131 Moreover, a combined solid recovery index (CSRY), which considers solid recoveries in both
132 steps, WE and pretreatment, in relation to raw EOP, is calculated multiplying SRY_{WE} by SRY_{LHW}
133 or DA (see point 2.2.1 above).

134 A portion of EOP_{LHW} or DA substrates were dried at 40°C, milled and submitted to major
135 components analysis as described above for raw biomass. PHs were analyzed for soluble
136 sugars, mannitol, total phenols, acetic acid, furfural and hydroxymethyl furfural (HMF) content
137 as described below in section 2.2.4., Analytical Methods.

138 2.2.3. Enzymatic digestibility of LHW or DA-pretreated substrates

139 EOP_{LHW} or DA was submitted to EH test at laboratory conditions: 100 ml Erlenmeyer flasks with
140 5% (w/v) dry material load in 0.05 M sodium citrate buffer (pH 4.8). Experiments were
141 performed in on a rotary shaker (Certomat-R B-Braun, Germany) at 50 °C and 150 rpm for 72 h.
142 Cellulolytic cocktail CellicCtec2, kindly provided by Novozymes A/S (Denmark), was added in a
143 dosage of 15 FPU/g dry EOP_{LHW} or DA . A sample of both raw EOP and EOP_{WE} were also
144 subjected to the same EH test as controls. Samples were withdrawn at 72 hours and sugar
145 concentration in EH media measured by HPLC as described below.

146 2.2.4 Analytical methods

147 The filtrate recovered after water extraction (WE) and PH_{LHW} or DA were analyzed for its content in
148 monomeric and oligomeric sugars, and WE also for compounds of interest, such as mannitol
149 and total phenols. PH_{LHW} or DA was also analyzed for furfural, hydroxymethyl furfural (HMF) and
150 acetic acid, as shown below.

151 Sugars and mannitol were quantified by high-performance liquid chromatography (HPLC) in a
152 Waters 2695 liquid chromatograph with refractive index detector, as described by Manzanares
153 et al. [22]. The oligosaccharides ratio was determined as the difference in monomeric sugar
154 concentration before and after mild acid hydrolysis (3% v/v H_2SO_4 , 120°C and 30 min).

155 Likewise, glucose and xylose concentration in EH tests was measured by HPLC using the same
156 column.

157 The level of total phenols in the water extract was determined by using Folin-Ciocalteu reagent
158 and external calibration with vanillin following the method of Singleton et al. [23]. Acetic acid,
159 furfural and HMF were analyzed in prehydrolyzates by HPLC (Hewlett Packard, Palo Alto, CA),
160 as described by Negro et al. [20].

161

162 2.3. Yield calculations

163 To evaluate the effect of water extraction step and LHW or DA pretreatment in the fractionation
164 of main carbohydrates (glucan and xylan) and enzymatic digestibility of EOP, several
165 parameters are calculated based on analysis of fractions generated along processing. The
166 formulas, which use the solid recovery yields (SRY) explained above in points 2.2.1 and 2.2.2,
167 are shown below. All solid materials defined in the equations are in dry weight basis. A
168 summary of abbreviations used is shown at the end of this section to facilitate understanding of
169 the formulas.

170 *Sugar recovery yield in water extraction step and LHW (SY)*

171 The yields explained below refer to the amount of carbohydrate/sugar in the solid and liquid
172 fractions after WE and LHW in relation to the initial content in feedstock used in each step.

173 For water-extraction step, the following yields are calculated:

$$174 \quad SY (EOPWE) = \frac{g \text{ of glucan or xylan in EOP WE } \times \% SRY (WE)}{g \text{ of glucan or xylan in raw EOP}} \times 100 \quad (1)$$

$$175 \quad SYWE = \frac{g \text{ of glucose or xylose in water extract}}{g \text{ of glucose or xylose in raw EOP}} \times 100 \quad (2)$$

176 After LHW or DA pretreatment, a solid (EOP)_{LHW or DA} and a liquid fraction (PH)_{LHW or DA} are
177 generated, and the recovery yields are calculated as follows:

$$178 \quad SY(EOP_{LHW \text{ or } DA}) = \frac{g \text{ of glucan or xylan in } EOP_{LHW \text{ or } DA} \times \%SRY_{LHW \text{ or } DA}}{g \text{ of glucan or xylan in } EOP_{WE}} \times 100 \quad (3)$$

$$179 \quad SY(PH_{LHW \text{ or } DA}) = \frac{g \text{ of glucose or xylose in } PH_{LHW \text{ or } DA}}{g \text{ of glucose or xylose in } EOP_{WE}} \times 100 \quad (4)$$

180 Finally, a total sugar recovery yield (TSRY) for each sugar for the LHW or DA step is calculated
 181 summing up the values from equations (3) and (4). All SY values are shown as percentage on
 182 dwb.

183 *Enzymatic hydrolysis yield (EHY)*

184 This variable refers to the yield of sugars released by EH of EOP_{LH or DA} materials and shows the
 185 susceptibility to the enzymatic attack of pretreated substrates. It is expressed as a percentage
 186 of the maximum theoretical yield that could be achieved if glucan and xylan carbohydrates
 187 present in EOP_{LHW or DA} were fully hydrolyzed (hereinafter % of theoretical) and is calculated as
 188 follows:

$$189 \quad EHY = \frac{g \text{ of sugar in } EH}{g \text{ of sugar in } EOP_{LHW \text{ or } DA}} \times 100 \quad (5)$$

190 The values obtained from the formula above allow the evaluation the potential of sugar
 191 production from the pretreated substrate. However, it is interesting to calculate the sugar
 192 production yield in EH step in relation to raw EOP (SP_{EH}), thus giving information on how the
 193 release and recovery of soluble sugars in EH contribute to the overall sugar recovery in the
 194 process. The formula to calculate this variable, in percentage of the content of each sugar in
 195 raw material, is the following:

$$196 \quad SP_{EH} = \frac{[\% EHY \text{ (as decimal)} \times \% \text{ sugar content in } EOP_{LHW \text{ or } DA}] \times \% CSRY}{\% \text{ sugar content in } EOP} \times 100 \quad (6)$$

197

198

199 Overall sugar yield (OSY)

200 Overall sugar yield, calculated for main sugars glucose and xylose, refers to the amount of
 201 sugar released in both pretreatment and EH in relation to the amount of sugar in raw EOP,
 202 expressed in percentage. It is calculated summing up the production of sugars in EH step and
 203 the recovery of sugars in water extraction and prehydrolyzate by the following formula:

$$204 \quad OSY = EHY \text{ raw EOP} + \frac{g \text{ of sugar in WE} + (g \text{ sugar in PH} * \%SRY \text{ WE})}{\% \text{ sugar content in EOP}} \times 100 \quad (7)$$

205 Abbreviations:

206 EOP_{WE} = solid fraction after water extraction of EOP

207 WE = water extraction step

208 SRY_{WE} = solid recovery yield after water extraction

209 $EOP_{LHW \text{ or } DA}$ = solid fraction obtained after LHW or DA pretreatment of EOP_{WE}

210 $SRY_{LHW \text{ or } DA}$ = solid recovery yield after LHW or DA pretreatment of EOP_{WE}

211 $PH_{LHW \text{ or } DA}$ = liquid fraction or prehydrolyzate obtained after LHW or DA pretreatment of EOP_{WE}

212 CSR = combined sugar recovery yield

213

214 3. RESULTS AND DISCUSSION

215 3.1. Raw EOP composition

216 Results in Table 2, first column, show that raw EOP is mostly composed of 25 % structural
 217 carbohydrates, 24% lignin and close to 10% ash, dwb. Xylan is the main polymer of the
 218 hemicellulose fraction, present in a similar percentage to glucan, around 10%. The relatively
 219 high lignin percentage is consistent with the results reported by Sadeghi et al. [24] on lignin
 220 content of various olive oil industry byproducts such as partly destoned olive cake, which varies
 221 from 22 to 32% (dwb). Fernandes et al. [10] have quantified even higher Klason lignin
 222 (equivalent to acid insoluble lignin) content values for EOP, close to 34% dwb.

223 Remarkably, this residue has a very high content of extractives of 42% (dwb), of which close to

224 90% consist of water extractable compounds and 10% extractives in ethanol. This high
225 extractives content is a common feature of other olive biomass derived residues such as olive
226 tree pruning (OTP) and olive leaves (OL), which have been reported to contain up to 25% and
227 45%, respectively [8, 25].

228 3.2. Water extraction step

229 The presence of soluble valuable compounds reported in water extractives from OL and OTP
230 reported by Romero-Garcia et al. and Ballesteros et al. [26, 27] led to a preliminary analysis of
231 EOP water extract that confirmed the presence of products that otherwise could be lost in
232 subsequent steps in the fractionation process. Moreover, as discussed above, this approach
233 has been demonstrated to be successful to improve overall sugar recovery in OTP [20, 27]. Also
234 in softwood barks, Franko et al. [28] have recently demonstrated that a hot-water extraction step
235 prior to steam explosion pretreatment improves the enzymatic digestibility of pretreated
236 material.

237 As shown in Table 2, column 2, water extraction step leads to the removal of a great part of
238 extractives, about 65% content of the initial amount, resulting in an extracted material (EOP_{WE})
239 with roughly 15% of extractives content. The quantification of non-structural sugars contained in
240 water extract shows that glucose is the major component of this fraction (8% of EOP dry
241 weight), with one third of this amount being in oligomeric form. Other non-structural sugars
242 (fructose, arabinose, galactose and to a minor extent xylose and mannose) are also released
243 adding up to a total over 12% of EOP dwb. Moreover, the analysis of extractives has shown the
244 presence of significant amounts of compounds of interest such as phenols and mannitol,
245 accounting for 5% and close to 4% of EOP dry weight and supporting the convenience of the
246 water extraction approach. In agreement with these findings, Clemente et al. [29], studying the
247 composition of EOP derived from two and three phases production processes, found similar
248 compositions than those reported herein, describing also the presence of mannitol and glucose
249 as the major components of polyalcohols and soluble sugars fraction of EOP.

250 The solid recovery yield in water extraction step (SRY_{WE}) was 64%. As a consequence of weight
251 loss of soluble compounds, structural carbohydrates content in EOP_{WE} increases in comparison
252 to raw EOP, reaching 30%. As a result of water extraction, soluble ash content also diminishes
253 to 6.1% in EOP_{WE} . The material resulting from WE step was used as feedstock for the
254 subsequent fractionation step, i.e., LHW or DA pretreatment.

255 Regarding the sugar recovery yields in this step, values for glucan and xylan in the EOP_{WE}
256 material [$SY(EOP_{WE})$], (see formula 1) account for 55.3 and 97.0 % of the content in raw EOP,
257 respectively. In the water extract, SY_{WE} , (formula 3), these figures are 41.6 and 2.7%. Adding up
258 solid and liquid extract, total recovery values of glucose and xylose in water extraction step
259 reach 96.7 and 99.7 % dwb, respectively.

260

261 3.2 Effect of LHW or DA pretreatment on EOP fractionation and enzymatic digestibility

262 3.2.1. Chemical composition of LHW or DA-pretreated EOP and prehydrolyzates

263 Table 3 below summarizes the composition of the solid EOP_{WE} materials recovered from LHW
264 or DA pretreatment tests ($EOP_{LHW \text{ or } DA}$) at different temperatures and acid concentrations, as
265 well as the SRY values. The results show an increase in glucan content as temperature rises in
266 LHW experiments, while the effect of increasing temperature in DA trials shows a different
267 tendency, clearly influenced by the acid concentration in the media. Glucan content in EOP_{DA}
268 slightly increases as temperature is elevated in 1% experiments, while in 2% trials, the increase
269 up to 210°C leads to a significant decline to 11%, indicating structural glucan solubilization.
270 This finding has been frequently reported in DA pretreatment of lignocellulosic feedstocks at
271 increasing temperature pointing to a threshold that must not be exceeded to avoid structural
272 glucose-based carbohydrates loss in the solid fraction [13]. Particularly for OTP, Cara et al. [30]
273 describe a similar phenomenon when treating the material in the range 170-210°C and 0.2-1.4%
274 acid.

275 Xylan, the major hemicellulose polymer of EOP, solubilizes increasingly as temperature raises
276 in both LHW and DA trials, resulting in significantly low, or even lack of, xylan content in almost
277 all EOP_{DA} substrates compared to EOP_{WE} (15.2% dwb). A significant “concentration” effect is
278 found in the acid-insoluble lignin present in pretreated solids as temperature in LHW, and also
279 acid concentration in DA for each temperature value, rises. High lignin contents close to, and
280 even over 70% dwb, are measured in LHW and DA at 190 and 210°C. These values, referring
281 to the raw EOP considering the CSRY, are in the interval of 23-28% dwb, which are relatively
282 comparable or slightly higher than the value in raw EOP (22.1%, see Table 2). This is consistent
283 with the knowledge that hydrothermal and diluted acid pretreatments can provoke lignin
284 fragmentation that generally results in minor delignification, depending on pretreatment severity
285 [31, 32, 33]. According to Pu et al. [31], the comparable or slightly higher lignin content values
286 found in DA and hydrothermal pretreated biomasses can be attributed to pseudolignin
287 formation, which could impact the enzymatic digestibility of pretreated substrates by re-
288 depositing on the surface of cell walls and limiting enzyme access to cellulose. More
289 particularly, Zhuang et al. [34], state that the cooling step after LHW pretreatment can also
290 result in the deposit onto the surface of pretreated wood of lignin-like materials, which hinders
291 the EH of cellulose. The effect of pseudo-lignin on cellulose EH has been extensively studied in
292 the last years [33, 35], but a consensus on the mechanism underlying the cellulase inhibition
293 caused has not yet been reached.

294 The analysis of SRY_{LHW or DA} shows an increasing solubilization of components from EOP_{WE} as
295 the severity raises (temperature in LHW, temperature and acid concentration in DA), with values
296 of insoluble solids recoveries ranging from 77% to about 52% at the most severe conditions.
297 When the solid recovery is calculated in relation to raw EOP, including solid losses in water
298 extraction, these figures drop down to values from 50 to 34% of initial EOP dry weight.
299 In brief, by pretreating EOP_{WE} by LHW or DA, xylan, arabinan and galactan are partially
300 solubilized, having the conditions of the pretreatment (temperature and aqueous or acidic
301 media) a great impact in the hemicellulose solubilization and in the resulting concentration of

302 carbohydrates in the pretreated solids. Consistently, glucan content increments at different
303 degrees reaching a maximum value around 25% at 170°C 2% acid, compared to 15% in
304 EPO_{WE} .

305 The analysis of prehydrolyzates from LHW or DA runs was aimed at evaluating the
306 effectiveness of the pretreatment method to fractionate hemicellulose polymers and release
307 sugars into the prehydrolyzate, while minimizing sugar losses through generation of inhibitory
308 compounds. The recovered xylose can be considered a valuable carbon source for
309 transformation to xylitol or ethanol by pentose-fermenting yeasts [36, 37]. Sugar and inhibitory
310 concentrations found at different process conditions are shown in Table 4 below.

311 The results of sugar concentration refer only to glucose and xylose, the main sugars detected.
312 In relation to xylose in PH_{LHW} , an increase in the concentration is found when temperature
313 raises from 170°C to 190°C up to 13 g/l, but higher temperature results in a sharp decline of
314 xylose detected, indicating sugar degradation phenomenon. In DA experiments, results show a
315 clear effect of acid concentration in hemicellulose breakdown and sugar release within the three
316 temperatures tested, although in a different manner. At 170°C, increased acid concentration in
317 the media results in a rise in xylose recovery (in monomeric form) in prehydrolyzate up to close
318 to 18 g/l, the highest value found, that correspond to roughly 50% of xylose content in EOP_{WE} .
319 Nonetheless, at 190°C the effect is the opposite and the presence of increasing acid in the
320 pretreatment media provokes a decrease in sugar recovered (from 6.6 to 1.8 g/l), and at 210°C
321 almost no xylose is detected.

322 As a consequence of increasing temperature in LHW from 170 to 210°C, the concentration of
323 acetic acid, furfural and HMF significantly raises, in accordance with boosted hemicellulose
324 breakdown and, in the case of furfural and HMF, a decrease in sugar recovery. When values of
325 inhibitory compounds are compared in LHW and DA for the same temperature, higher values
326 are always found in DA trials, due to more severe conditions leading to more xylose
327 degradation. Acetic acid is produced as a consequence of deacetylation of hemicelluloses and
328 its concentration in the PH varies with the severity of the pretreatment, from 0.99 g/l at 170°C in

329 LHW to around 6.6 g/l at 210°C, both in PH_{LHW} and PH_{DA}. Deacetylation of hemicellulose chains
330 by hydrothermal pretreatments as LHW and DA has been reported to favor enzymatic
331 digestibility based on alleviation of steric restrictions provoked by acetyl groups in cellulose
332 accessibility to cellulose [38] and also because of the reduction of biomass recalcitrance by the
333 formation of more easily hydrolyzed xylo-oligomers with fewer branches [39].
334 Contrarily, furfural and HMF derive from dehydration of pentose and hexose sugars,
335 respectively, and their presence should be minimized in any pretreatment, provided that the
336 prehydrolyzate is used in some way as fermentation media [20, 36]. Regarding this, several
337 techniques such as overliming or liquid-solid extraction have been proven to be very effective
338 for detoxification of lignocellulose hydrolyzates [40].

339 The results presented above indicate that LHW and DA at the conditions tested mainly affect at
340 xylan component of EOP_{WE}, producing a maximum release of close to 50% of the initial content
341 in DA at 170°C 2% acid. PH could be detoxified and used as a valuable liquid stream for
342 microorganism propagation and growth, or for fermentation to ethanol or xylitol. This latter
343 approach has been successfully tested in olive tree pruning (OTP) by Martinez-Patiño et al. [36],
344 who submitted the prehydrolyzate from DA of OTP, detoxified by overliming, to fermentation
345 with the ethanogenic bacteria *E.coli* for ethanol production.

346 3.2.2. Sugar recovery yields after LHW pretreatment

347 Based on the results shown in Tables 3 and 4, a series of yields were calculated, in order to
348 estimate the fractionation of main sugars, glucose and xylose, into the solid and liquid streams
349 generated after the pretreatment of EOP previously water-extracted. The analysis of these
350 results provides an insight of the effect of LHW and DA in the main components of EOP_{WE},
351 being crucial to select those pretreatment conditions leading to a total maximum sugar recovery.

352 Results of SY for glucose indicate that after LHW and DA, a major part of the glucan contained
353 in EOP_{WE} is retained in the solid fraction of pretreated material (in the figure SY(EOP)), with the

354 harshest conditions (DA at 210°C, 2% acid) leading to severe glucan breakdown and
355 solubilization. In our experiments, minor glucose solubilization in PH (SY PH) may be partially
356 related to the presence of glucose containing-water extractives in EOP_{WE}, which would be easily
357 solubilized during LHW.

358 In relation to xylan component retained in EOP_{WE} after pretreatment (Figure 2, panel b), results
359 indicate that both LHW and DA are effective to dissolve hemicellulose, being xylose increasingly
360 solubilized as temperature and acid concentration in the media levels up. Consequently, SY
361 (EOP) values drastically fall from the maximum value over 60% at LHW at 170°C, to no
362 recovery in the solid at the most severe conditions in DA at 210°C. However, although at these
363 conditions a great part or all xylan is solubilized, no xylose is detected in PH, indicating sugar
364 losses.

365 The effectiveness of water catalyzed-LHW to remove hemicellulose and generate pretreated
366 substrates with increased enzymatic accessibility has been reported in different types of
367 lignocellulosic biomasses [15, 17, 31]. In our LHW experiments, increasing solubilization of
368 xylan occurs as temperature rises from 170 to 190°C, although recovery values (32 and 38%)
369 remain under the maximum value occurring at 170°C and 2% acid (51%).

370 On the other hand, in LHW trials a great part of the sugars detected in PH_{LHW} are in oligomeric
371 form (see Table 4), which is also a well-known feature of hydrothermal pretreatments such as
372 LHW [31]. Contrarily, by acidifying the pretreatment media in DA, all xylose is recovered in
373 monomeric form, which implies no need of subsequent hydrolysis of oligosaccharides if
374 monomeric xylose is needed as carbon source.

375 Looking at the total sugar recovery yield values in LHW and DA shown in Figure 3, it is clear
376 that LHW at 170°C and 190°C and DA at 170°C (1 and 2% acid) result in the most favorable
377 conditions aimed at maximizing xylan/xylose recovery after EOP processing, adding up the
378 recoveries in the solids and prehydrolyzates generated. Increased severity conditions lead to
379 extensive losses of xylan component. Regarding glucan/glucose recovery, in general good
380 recovery values of 92-98% are obtained after LHW or DA pretreatment at temperatures of 170-

381 190°C, although at 210°C in LHW the recovery diminish to 85% and in DA at 210°C and 2%
382 acid, to the lowest value of 43%.

383

384 3.2.3. Enzymatic hydrolysis of EOP after LHW/DA pretreatment

385 The effect of WE and LHW/DA pretreatment on the enzymatic digestibility of solids generated
386 after pretreatment ($EOP_{LHW/DA}$) was evaluated by performing EH tests under laboratory
387 conditions. Results of EH yields for glucan (EH_G) and xylan (EH_X) and sugar production yields
388 by EH in relation to raw EOP (SP_{EHG} and SP_{EHXY}), are shown in Table 5 below, together with
389 the results of control experiments.

390 Firstly, it can be observed that the value of the control EOP_{WE} exceeds by 1.6 fold the yield of
391 untreated EOP, supporting the hypothesis that water extraction favors the enzymatic digestibility
392 of EOP, as demonstrated in other related byproducts such as OTP [27]. Also, Franko et al., [28]
393 have recently reported a similar phenomenon occurring by the removal of water-soluble
394 extractives of steam pretreated softwood barks that result in increased glucose yield of 10-30%,
395 depending on the softwood.

396 Regarding the enzymatic digestibility of $EOP_{LHW \text{ and } DA}$ substrates, it is clearly increased in
397 comparison to control EOP_{WE} at all pretreatment conditions tested, with increments up to 3 and
398 18 fold for glucan and xylan, respectively. Only in LHW trials at 170°C, slight effect is found in
399 EH_G (33 vs 36%). The highest EH_G values (80-95% of theoretical) are found under the most
400 severe conditions, in DA trials at all temperatures with 2% acid, LHW at 210°C and DA at 210°C
401 with 1% acid.

402 The results obtained in this work are substantially higher than those obtained by Fernandes et
403 al. [10] in EOP submitted to dilute acid hydrolysis with 3.5% (w/w) in autoclave at 130°C for 130
404 min, which were < 10% of theoretical. In another feedstock such as OTP; Negro et al. [20]
405 found high glucan conversion values of 63 and 88% of theoretical when pretreating OTP by
406 acid-catalyzed steam explosion at 175 and 195°C, respectively, in laboratory EH experiments at
407 10% (w/v) consistency. Although our experiments have been carried out only at 5%, good

408 performances of EOP_{LHW} at higher solid loads are expected because of the similar nature of the
409 residues. Further research will be planned to investigate this.

410 As shown in Table 3, EOP_{LHW} and DA substrates at the conditions leading to higher EH_G values
411 reveal low or negligible xylan content, which is consistent with the knowledge that hemicellulose
412 removal improves the hydrolyzability of lignocellulosic materials [14, 41]. Several factors have
413 been described to cause the positive effect of hemicellulose removal on cellulose accessibility
414 that has been demonstrated in numerous lignocellulosic materials. For example, Adani, et al.
415 [42] have reported that the removal of hemicelluloses influences the nano-porous structures
416 distribution of cell-walls, while other researchers as Zhang et al. [41] claims that xylan shows
417 high affinity to cellulose, thus absorbing on the surface and hindering cellulase access.
418 Xylan digestibility is also influenced by pretreatment severity, increasing as severity rises and
419 reaching yields close to 100% in DA experiments at 190, 2% acid and LHW at 210°C. However,
420 the rather low xylan content of solid at these conditions (0.2 and 1.2%) reduces greatly the
421 significance of the result.

422 When sugar production yields by EH are referred to raw EOP (SP_{EH} in Table 5), it is necessary
423 to take into account the solid losses that occur in the different processing steps, which are
424 reflected in the CSRY [see formula (1)]. The values of SP_{EH} shown in Table 5 for glucan
425 component follow a similar pattern than that of EH_G , while xylose values are influenced by the
426 significant effect of LHW or DA pretreatment step in the xylan content of pretreated solids. Thus,
427 the extensive breakdown of xylan from raw EOP during pretreatment results in a low production
428 by EH of the pretreated solids, in spite of showing very high digestibility yield. The calculation of
429 SP_{EH} is very useful to determine the overall sugar yield shown below, that combines the
430 production or release of sugars from solid and liquid streams generated throughout EOP
431 processing.

432 3.2.4. Overall sugar yield

433 The values calculated for overall glucose and xylose production yield according to formula (7)
434 are shown in Figure 4. The results show that by processing EOP in a strategy encompassing
435 WE, followed by both LHW and DA pretreatment, reasonably good glucose production and
436 recovery yields can be achieved at particular process conditions. So, LHW at 210^a and DA at
437 170 and 190°C and 2% acid lead to maximum yields around 85% of glucose content in raw
438 EOP. On the other hand, OSY values calculated for xylose show some limitation of the process
439 strategy, specifically in the pretreatment step, to release and recover xylose from EOP, which is
440 reflected in the maximum OSY_{XYL} of 62% in DA trials at 170°C and 2% acid.

441 The above results of sugar release yield from EOP after a process consisting of pretreatment
442 and EH are in the range of others reported in the literature in different biomass residues
443 submitted to LHW, DA or steam-explosion pretreatments (Table 6). For example, similar high
444 OSY values of 88% for glucose and even higher for xylose (85%) were obtained by Ballesteros
445 et al. [27] in OTP biomass pretreated by steam explosion at 187°C and 30 minutes., while
446 Martinez Patiño et al. [36] reported somehow lower yield around 70% sugar yield for glucose by
447 pretreating the same feedstock by DA. In general, hydrothermal pretreatments like LHW, DA or
448 SE have been proven to be very effective to attain good overall sugar recoveries, provided that
449 the most adequate process conditions are selected.

450 Summarizing, the best conditions to pretreat EOP_{WE} would be DA at 170°C and 2% acid that
451 result in maximum release and recovery of both glucose and xylose sugars. Figure 5 shows a
452 mass balance of the different EOP components during overall processing of under the best
453 conditions. According to these results, 1 ton of EOP could yield as a whole 167 kg of glucose
454 and 68 kg of xylose, which accounts for 78% of the total amount of those sugars in EOP, and
455 65% of the total carbohydrates. Further studies on the possibility of converting the sugars
456 contained in the different streams generated along the process into valuable compounds as
457 bioethanol or xylitol are needed to establish the real potential of this material. Also, the
458 revalorization of the compounds recovered in the water extract, i.e., mannitol and phenols, must
459 be reinforced to improve the potentiality of EOP use within a biorefinery context.

460 4. CONCLUSIONS

461 The strategy tested in this work to process EOP, which consists of a water extraction step
462 followed by LHW or DA pretreatment and EH of the water-extracted and pretreated solids has
463 been proven to be effective in fractionating EOP biomass and in reducing the recalcitrance of
464 lignocellulose EOP structure to enzymatic attack. The water extraction step allows the recovery
465 of an important amount of glucose that otherwise could be lost in the subsequent step together
466 with other compounds of interest such as phenols and mannitol, which could be processed for
467 further valorization as antioxidants and sweeteners, respectively.

468 The pretreatments tested in water-extracted EOP, i.e., LHW and DA, under selected conditions
469 have proven to be effective in improving the enzymatic digestibility of pretreated EOP in
470 comparison to unpretreated material, although some differences between methods are found.
471 While with both LHW and DA a similar result in terms of overall glucose yield can be achieved,
472 the presence of acid in DA pretreatment leads to a more effective release and recovery of
473 xylose in the prehydrolyzate resulting in the maximum overall xylose yield. Although in this work
474 the fractionation approach of EOP biomass has been studied and proven to be feasible under
475 the processing strategy tested, it represents only a first step in the assessment of the utilization
476 of EOP as feedstock in a potential biorefinery. It must be continued with further studies on
477 bioconversion of sugars and compounds released and techno-economic evaluations of the
478 whole process.

479

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484

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624 **Table 1.** Advantages and disadvantages of Liquid Hot Water (LHW) and Dilute acid (DA) methods for the pretreatment of lignocellulosic
625 biomass

Pretreatment method	Advantages	Disadvantages
LHW	<ul style="list-style-type: none"> • No addition of catalyst or chemicals • Low formation of degradation products • High hemicellulose-derived sugars recovery • Low-cost reactors due to low corrosion potential 	<ul style="list-style-type: none"> • High energy requirement • High water demand • Not developed at commercial scale • Lignin can be partially solubilized and redeposited onto biomass as pretreated slurry cools down
DA	<ul style="list-style-type: none"> • High hemicellulose solubilization yield (in monomeric form) • Extensive development of reactors for DA • Possibility of using less corrosive acids than H₂SO₄ • Widely used at industrial scale 	<ul style="list-style-type: none"> • Depending on the process conditions, degradation of hemicelluloses • Possible formation of pseudolignins • Need of neutralization step • High investment costs for reactor construction

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631 **Table 2.** Chemical composition of raw EOP (EOP_{RM}) and the sample after water extraction
 632 (EOP_{WE}). Data represent average value of triplicates and standard deviation.

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Main component	EOP _{RM}	EOP _{WE}
	% (dry weight basis)	
Extractives, <i>thereof</i>	42.0 ± 1.18	14.9 ± 1.1
Water*	37.50 ± 1.5	9.05 ± 0.97
Glucose in water extractives**	8.32 ± 0.65	1.43 ± 0.03
Ethanol	4.53 ± 0.41	5.80 ± 0.51
Glucan	10.4 ± 0.34	15.5 ± 0.61
Xylan	9.5 ± 0.28	15.2 ± 0.46
Arabinan	1.0 ± 0.01	1.3 ± 0.05
Galactan	1.0 ± 0.02	1.4 ± 0.05
Acetyl groups	2.1 ± 0.03	2.6 ± 0.15
Lignin, <i>thereof</i>	23.7 ± 0.09	37.6 ± 0.53
Acid-insoluble***	22.1 ± 1.41	35.1 ± 0.23
Acid-soluble	1.6 ± 0.03	2.5 ± 0.15
Ash	9.4 ± 0.05	6.1 ± 0.63
TOTAL	99.1	94.6

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*Corrected for soluble ash

** Included in water extractives value

***Corrected for acid-insoluble ash

Table 3. Chemical composition of the EOP_{WE} material after LHW or DA pretreatment (EOP_{LHW or DA}) at different conditions, and Solid Recovery Yield values (SRY_{LHW} and CSRY). Data represent average value of triplicates and standard deviation.

Component (% dry matter)	LHW			DA					
				1% acid			2% acid		
	170°C	190°C	210°C	170°C	190°C	210°C	170°C	190°C	210°C
Glucan	16.6 ±0.5	18.8 ±0.2	20.9 ±0.3	20.4 ±0.4	21.5 ±0.7	20.9 ±0.4	24.3 ±1.0	22.1 ±0.9	11.1 ±0.2
Xylan	11.7±0.2	7.0±0.03	1.2±0.06	7.2±0.4	1.3±0.1	--	5.0±0.1	0.2±0.04	--
Arabinan	0.2±0.02	0.11±0.0	--	--	--	--	--	--	--
Galactan	0.6±0.03	0.4±0.04	--	0.3±0.03	--	--	--	--	--
Acetyl groups	2.7±0.14	1.5±0.05	0.4±0.02	1.6±0.20	0.5±0.05	0.1±0.02	--	--	--
Acid-insoluble lignin	52.4±0.7	65.6±0.9	68.5±0.1	61.7±1.7	68.9±0.9	73.7±0.8	67.4±0.9	68.6±1.8	82.5±0.8
Acid-soluble lignin	2.7±0.14	2.9±0.5	3.1±0.1	3.1±0.9	3.1±0.9	2.3±0.2	2.6±0.1	2.8±0.04	2.1±0.07
Total lignin	55.1	68.5	71.6	64.8	72	76	70.0	71.7	84.6
Ash	4.0±0.09	4.5±0.1	4.5±0.04	3.7±0.1	3.6±0.13	4.3±0.2	3.2±0.05	4.7±0.01	5.1±0.13
% SRY _{LHW/DA}	77.0	69.8	59.8	64.4	60.2	57.0	53.9	53.4	52.5
%CSRY	49.3	44.7	38.3	41.2	38.5	36.5	34.5	34.2	33.6

--"= not found

Table 4. Concentration (g/l) of main sugars and soluble compounds detected in prehydrolyzates after LHW or DA pretreatment at different conditions. Values in brackets in sugars column show percentage of sugars in oligomeric form.

Pretreatment	Condition		Glucose	Xylose	Acetic acid	Furfural	HMF
	(Acid concentration in DA media; T)						
LHW		170°C	4.1 (43.3)	3.1 (95.2)	0.99	0.03	0.06
		190°C	2.0 (63.0)	13.1 (95.4)	2.36	0.38	0.08
		210°C	1.0 (40.4)	1.7 (51.3)	6.67	2.39	0.36
DA	1%	170°C	4.5 (12.0)	15.3 (35.4)	2.16	0.9	0.4
		190 °C	2.2 (2.2)	6.6 (13.0)	5.4	6.5	1.1
		210°C	1.1 (21.6)	0.1 (19.0)	6.6	6.6	2.5
	2%	170°C	5.3 (0)	17.5 (0)	3.9	2.6	0.6
		190 °C	5.4 (0)	1.8 (0)	6.2	1.6	1.6
		210°C	2.0 (0)	0	6.7	7.3	2.3

Table 5. Enzymatic hydrolysis yield of EOP_{LHW or DA} for glucan and xylan and sugar production yield by EH in relation to raw EOP.

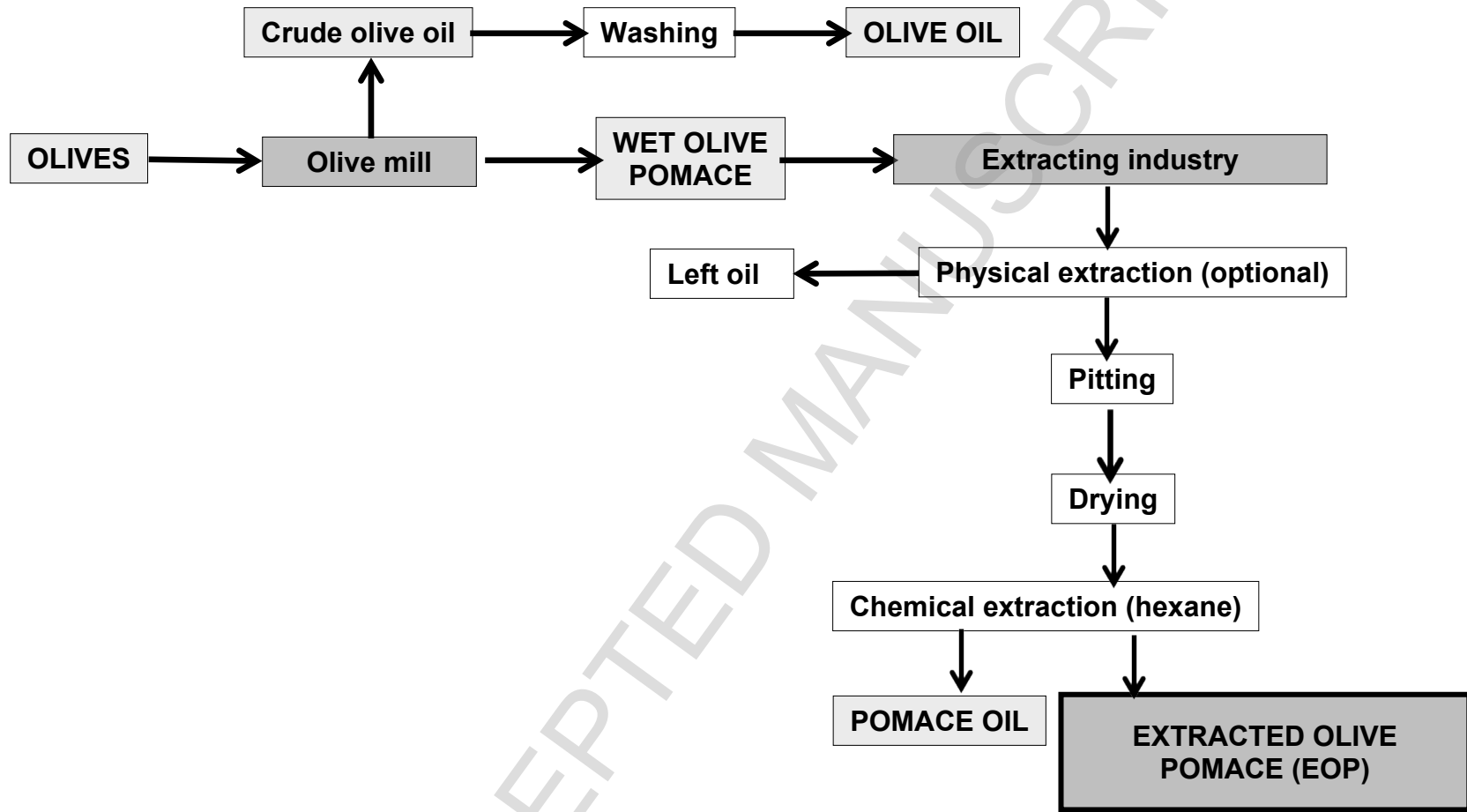
Pretreatment	Condition (T, acid concentration in DA media)	EHY (% of theoretical)		SP _{EH} (% of sugar content in raw EOP)	
		Glucan	Xylan	Glucose	Xylose
LHW	170°C	35.9	16.0	16.2	9.4
	190°C	60.1	36.0	28.6	11.6
	210°C	94.4	99.8	42.1	4.7
DA	170°C, 1%	58.6	14.7	25.3	4.3
	170°C, 2%	76.4	57.0	36.5	9.0
	190°C, 1%	65.9	59.5	31.0	3.1
	190°C, 2%	84.0	93.0	35.5	0.7
	210°C, 1%	91.5	n.a.	38.7	n.a.
	210°C, 2%	81.6	n.a.	16.3	n.a.
	<i>Control EOP_{WE}</i>	33.2	5.34	n.a	n.a
	<i>Control raw EOP</i>	20.8	2.50	n.a	n.a

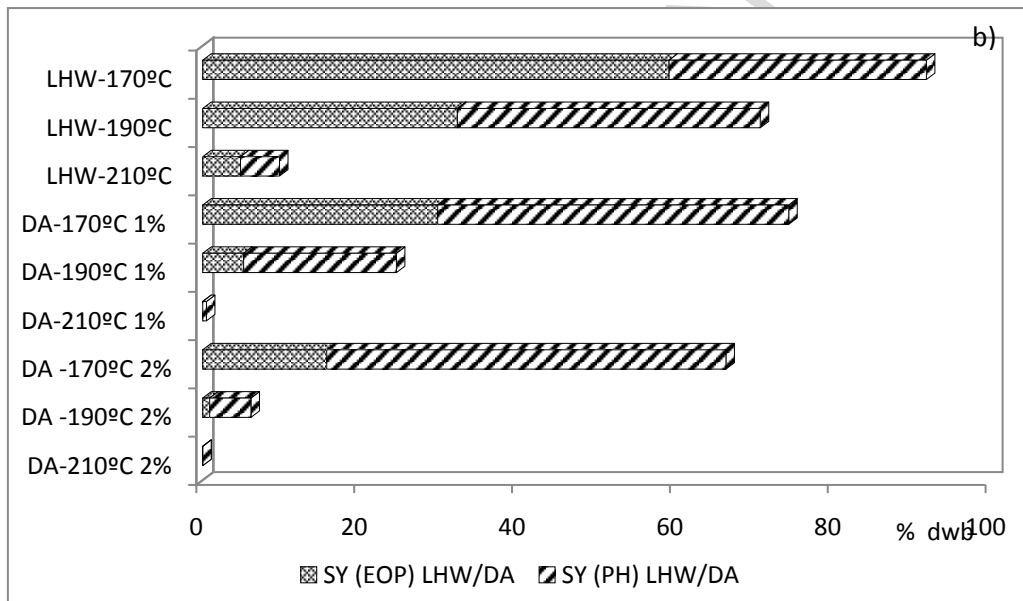
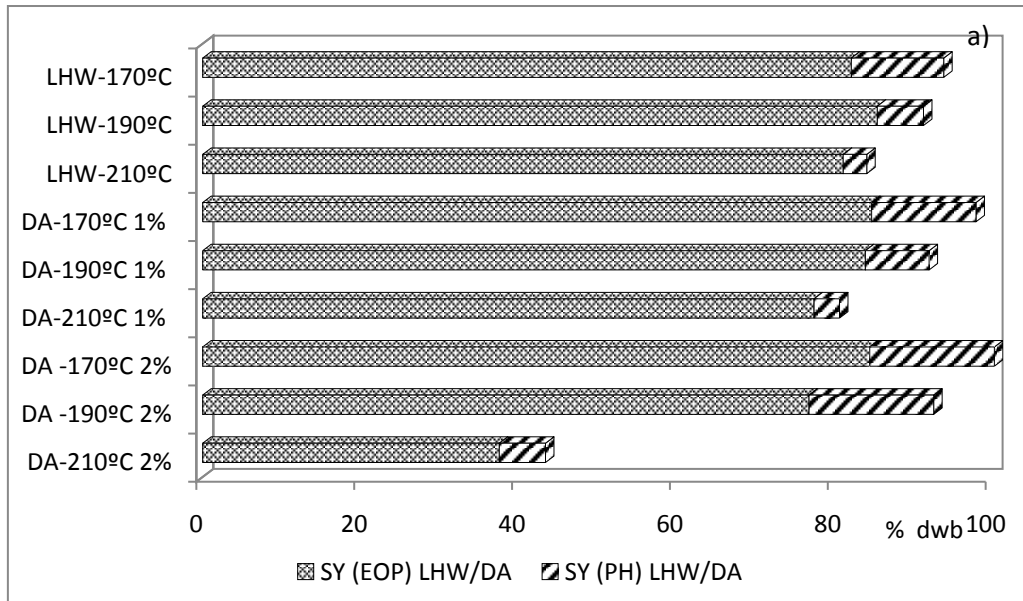
n.a. not applicable

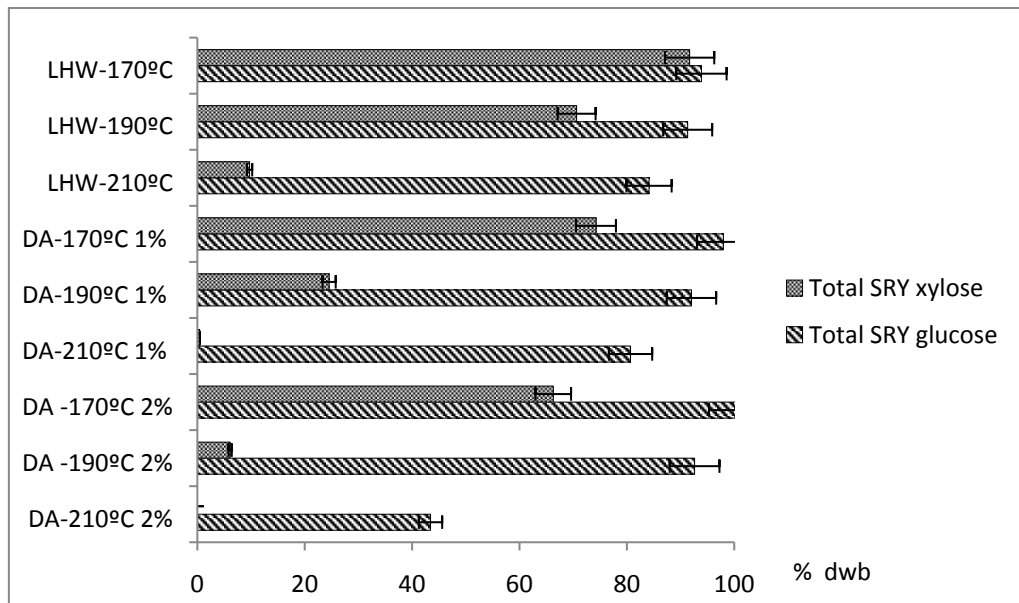
Table 6. Summary of feedstocks, process conditions and sugar yield from selected cases of study that use Liquid Hot Water (LHW), Dilute acid (DA) or Steam Explosion (SE) for the pretreatment of different lignocellulosic biomass feedstocks

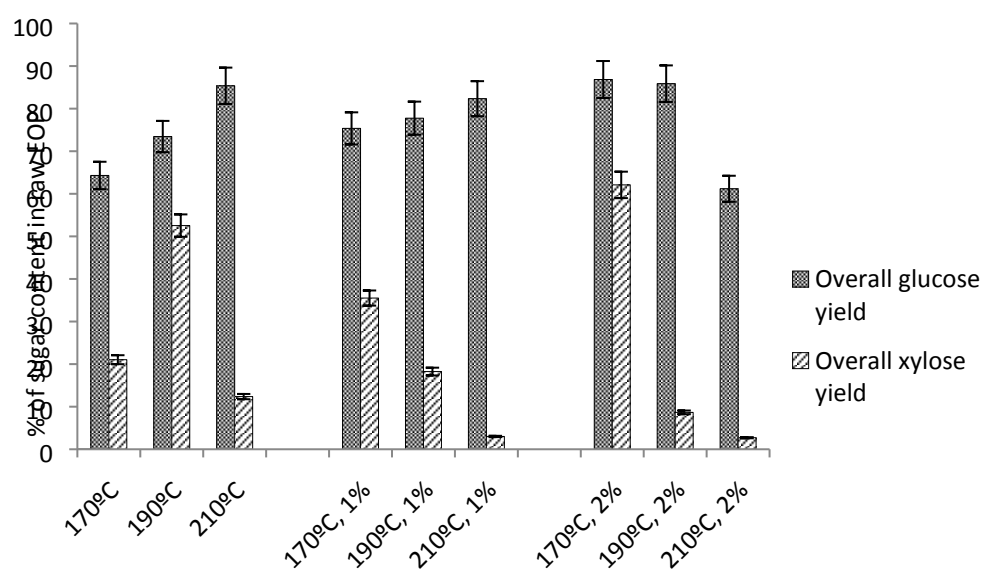
Pretreatment	Feedstock	Process conditions	Sugar yield (% of sugar content in raw material)		Reference
			Glucose	Xylose	
LHW	Corn cobs	160°C, 10 min	82	71	[43]
	Wheat straw	188°C, 40 min	76	38	[15]
	Olive pomace	210°C, 4 min	74	n.d.	[16]
DA	Olive tree pruning	H ₃ PO ₄ 1.5% (w/v), 170°C	68	78	[36]
	Olive tree pruning	H ₂ SO ₄ 1% (w/w), 190°C	75	n.d.	[30]
	Cardoon biomass	H ₂ SO ₄ 0.2% (w/v), 200°C for glucose H ₂ SO ₄ 0.1% (w/v), 180°C for xylose	81	93	[44]
SE	Banana rachis residue	198°C, 5 min (impregnated with H ₂ SO ₄ 1.5% (v/v))	87.....	n.d.	[45]
	Olive tree pruning	187°C, 30 min	88	85	[27]
	Rapessed straw	200°C, 1.5 min	99.....	n.d.	[46]
	Suagar cane bagasse	215°C, 5 min	87.....	n.d.	[47]

n.d: not disclosed









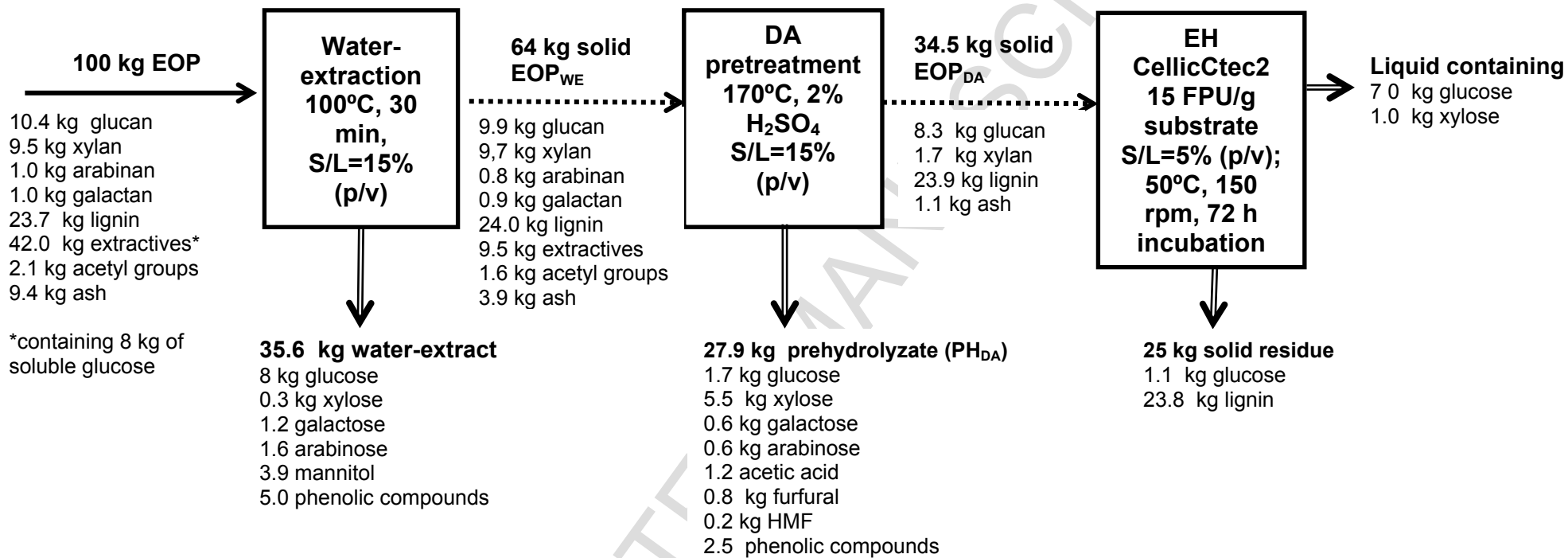


Figure 1. EOP generation scheme followed in traditional two-phases olive oil extraction system in Spain. Adapted from Manzanares, P. et al., 2017. Spanish Journal of Agricultural Research 15(3), 12 p.

Figure 2. Sugar recovery yields for glucose (a) and xylose (b) in solid fraction and prehydrolyzate of EOP pretreated by LHW or DA at different process conditions, in relation to EOP submitted to a water extraction step (EOP_{WE}). Values are shown in percentage of sugar content in EOP_{WE}

Figure 3. Total glucose and xylose recovery yields in LHW or DA pretreatment of EOP_{WE} at different process conditions, including the water extraction step. Values are shown in percentage of sugar content in EOP_{WE}.

Figure 4. Overall sugar yield (OSY) of glucose and xylose after WE and LHW or DA pretreatment of EOP at different process conditions. Values are shown in percentage of sugar content in raw EOP.

Figure 5 – Mass balance of 100 kg of EOP, submitted to water-extraction, pretreatment by dilute acid at 170°C and 2% sulphuric acid and enzymatic hydrolysis.

- Extracted olive oil pomace (EOP) is studied as novel lignocellulosic feedstock
- LHW or Dilute acid pretreatments successfully fractionate EOP biomass
- A water extraction step allows recovering valuable compounds as mannitol and phenols

ACCEPTED MANUSCRIPT