

1 **A HETEROGENEOUS BENTONITE BARRIER AFTER 18 YEARS OPERATION:**  
2 **FINAL PHYSICAL STATE OF THE BENTONITE BARRIER OF THE FEBEX IN SITU**  
3 **TEST**

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20 **Abstract**

21 The FEBEX *in situ* experiment was a full-scale test reproducing the near-field of a nuclear  
22 waste repository. It was performed in a gallery excavated in granite, with a heater whose surface  
23 temperature was set to 100°C simulating the waste canister and a bentonite barrier composed of  
24 highly-compacted blocks. The test was completely dismantled after eighteen years of operation.  
25 Numerous samples of bentonite were taken for the on-site determination of dry density and  
26 water content.

27 The on-site measurements showed that the physical state of the barrier was very much affected  
28 by the processes to which it had been subjected, namely hydration with the granite groundwater  
29 and/or thermal gradient. Although the degree of saturation of the bentonite was overall quite  
30 high, there were important water content and dry density gradients everywhere in the barrier,  
31 but steeper around the heater. These gradients did not impair the performance of the barrier, but  
32 imply that the barrier can be irreversibly inhomogeneous.

33 **Keywords:** radioactive waste disposal, Fabric/structure of soils, Cut-off walls & barriers

## 34 1. Introduction

35 The system of barriers (sealing and backfill materials) in a deep geological repository for high-  
36 level radioactive waste aims to prevent the possible escape paths for radionuclides to the  
37 environment, the most important of which is the circulation of groundwaters. The sealing  
38 materials (buffers) will be in contact with the waste containers and their basic functions are to  
39 prevent or limit the entry of water to the wastes and to contribute to radionuclide retention.  
40 Other additional functions are to contribute to heat dissipation and to provide mechanical  
41 protection for the waste canisters (e.g. Chapman & McCombie 2003, Vardon & Heimovaara  
42 2017).

43 In this context, the aim of the FEBEX project (Full-scale Engineered Barriers Experiment) was  
44 to study the behaviour of components in the near-field of a repository in crystalline rock  
45 according to the Spanish reference concept for geological disposal of nuclear waste. As part of  
46 this project an *in situ* test, under natural conditions and at full scale, was performed at the  
47 Grimsel Test Site (Switzerland), an underground laboratory managed by NAGRA (the Swiss  
48 agency for nuclear waste management). In addition to a purely demonstration aim, this *in situ*  
49 test allowed to monitor thermo-hydro-mechanical (THM) changes in a bentonite barrier in  
50 response to groundwater interaction and to heat release from a simulated nuclear waste disposal  
51 canister. A 70-m long gallery of 2.3 m in diameter was excavated through the granite and two  
52 heaters simulating the thermal effect of the wastes –with dimensions and weights analogous to

53 those of the real canisters– were placed inside a perforated steel liner installed concentrically  
54 with the gallery and surrounded by a barrier of highly-compacted bentonite blocks (Figure 1).  
55 The gallery was closed by a concrete plug. The FEBEX *in situ* test was initially monitored with  
56 632 sensors of very diverse types, installed to track the different thermo-hydro-mechanical  
57 processes that occurred in both the clay barrier and the surrounding rock throughout the entire  
58 life of the test. The THM monitoring and heater control system were managed remotely from  
59 Madrid. The maximum external surface temperature of the heaters was set to 100°C and the  
60 bentonite barrier was naturally hydrated by the granitic groundwater (ENRESA, 2006).

61 The clay barrier was built with compacted bentonite blocks arranged in vertical slices with three  
62 concentric rings around the heaters (Figure 2). The thickness of the bentonite barrier in the  
63 heater areas was 65 cm (distance from liner to granite). The blocks were obtained by uniaxial  
64 compaction of the FEBEX clay with its hygroscopic water content (14%) at pressures of  
65 between 40 and 45 MPa, what gave place to dry densities of 1.69-1.70 g/cm<sup>3</sup>. The initial dry  
66 density of the blocks was selected by taking into account the volume of the construction gaps  
67 and the need to have a barrier with an average dry density of 1.60 g/cm<sup>3</sup>.

68 The heating stage of the *in situ* test began in February 1997. After five years of uninterrupted  
69 heating at constant temperature, the heater closer to the gallery entrance (Heater #1) was  
70 switched off. The concrete plug closing the gallery was then demolished. At the moment of  
71 dismantling in 2002, the pressure exerted by the bentonite towards this plug was of about 1 MPa  
72 at the axis of the gallery, and between 3.6 and 4.6 MPa in the middle part of the barrier  
73 (AITEMIN 2003). In the following months Heater #1 and all the bentonite and instruments  
74 preceding and surrounding it were extracted, except for one metre of bentonite slices in front of  
75 the back lid of Heater #1 (Bárcena et al. 2003). During dismantling a net forward movement of  
76 the bentonite barrier towards the entrance of the gallery (of between 2 and 5 cm) was observed  
77 and measured. The 1-m long void left by the final part of Heater #1 was filled with a dummy  
78 steel empty canister and the remaining part of the experiment was sealed with a new sprayed  
79 shotcrete plug (Figure 3). It is considered that this milestone, after all the activities related to the

80 partial dismantling had ended, was the beginning of the second operational phase. However,  
81 Heater #2 was in operation at all times during the partial dismantling. The disturbance caused  
82 by the partial dismantling on the remaining part of the experiment was very small (Bárcena et  
83 al. 2003). Although some displacement of the buffer towards the gallery entrance was observed,  
84 the readings of the sensors left in place showed a fast recovery of the pressures after  
85 construction of the new plug. No significant alterations were observed in other parameters, such  
86 as temperature or humidity.

87 After eighteen years of operation (Lanyon & Gaus 2016), the FEBEX Dismantling Project  
88 (FEBEX-DP) undertook the dismantling of the experiment (García-Siñeriz et al. 2016). Heater  
89 #2 was switched off in April 2015, the shotcrete plug was demolished and 14 days after heater  
90 shutdown the buffer removal and sampling started. In particular, samples were taken to  
91 determine on site their water content and dry density, with the aim of assessing the final state of  
92 the barrier (Villar et al. 2016). This paper summarises and discusses the results obtained during  
93 dismantling concerning the physical state of the bentonite barrier. Its relevance arises from the  
94 fact that, up to the whole dismantling of the FEBEX *in situ* test, no bentonite subjected to  
95 repository conditions for such a long period of time had ever been studied.

## 96 **2. Engineered Barrier Material**

97 The material used to construct the engineered barrier was the FEBEX bentonite, extracted from  
98 the Cortijo de Archidona quarry in SE Spain. At the factory, the clay was disaggregated and  
99 gently dried to a water content of around 14%, all the material of particle size greater than 5 mm  
100 being rejected. The processed material was used for fabrication of the blocks for the large-scale  
101 test and for the laboratory tests performed for the characterization of the clay. The physico-  
102 chemical properties of the FEBEX bentonite, as well as its most relevant thermo-hydro-  
103 mechanical and geochemical characteristics were summarised in ENRESA (2006).

104 The montmorillonite content of the FEBEX bentonite is above 90 wt.% (92±3 %). Besides, the  
105 bentonite contains variable quantities of quartz (2±1 wt.%), plagioclase (3±1 wt.%), K-felspar

106 (traces), calcite ( $1\pm 0.5$  wt.%), and cristobalite-trydimite ( $2\pm 1$  wt.%). The cation exchange  
107 capacity of the smectite is  $102\pm 4$  meq/100g, the main exchangeable cations being calcium  
108 ( $35\pm 2$  meq/100g), magnesium ( $31\pm 3$  meq/100g) and sodium ( $27\pm 1$  meq/100g). The  
109 predominant soluble ions are chloride, sulphate, bicarbonate and sodium.

110 The liquid limit of the bentonite is  $102\pm 4\%$ , the plastic limit  $53\pm 3\%$ , the density of the solid  
111 particles  $2.70\pm 0.04$  g/cm<sup>3</sup>, and  $67\pm 3\%$  of particles are smaller than 2  $\mu\text{m}$ . The hygroscopic  
112 water content in equilibrium with the laboratory atmosphere is  $13.7\pm 1.3\%$ .

113 The swelling pressure ( $P_s$ , MPa) of FEBEX samples flooded with deionised water up to  
114 saturation at room temperature and constant volume conditions can be related to dry density ( $\rho_d$ ,  
115 g/cm<sup>3</sup>) through the following equation (Villar 2002):

116

$$117 \quad \ln P_s = 6.77\rho_d - 9.07 \quad [1]$$

### 118 **3. State of the barrier during operation**

119 In spite of the long duration of the experiment and the short life expectancy of the sensors  
120 guaranteed by the manufacturers, at the moment the barrier was dismantled many sensors were  
121 still providing information and continued doing so during the dismantling operations (Martínez  
122 et al. 2016). Figure 4 shows the steady temperatures measured by thermocouples at different  
123 instrumented sections in the bentonite barrier (see Figure 3 for location of sections along the  
124 gallery). The temperatures are plotted as a function of the distance to the gallery axis, i.e. in  
125 radial direction. Obviously, there is a clear difference between the temperatures measured in  
126 sections around the heater and those away from it. The sections around the heater showed a  
127 steep temperature gradient, with temperatures between  $100^\circ\text{C}$  in the contact with the liner and  
128 higher than  $34^\circ\text{C}$  close to the granite, whereas the bentonite sections located away from the  
129 influence of the heater had lower and more homogeneous temperatures. Thus, in section S38, at  
130 100 cm from the front lid of the heater, the temperatures were  $35\pm 5^\circ\text{C}$ , and in section S62, at

131 275 cm from the back lid of the heater, the temperature was of 22°C. Around the heater the  
132 temperatures were higher in the middle part of it (sections S45 to S51), because the heat loss  
133 was larger at the heater ends. This feature is highlighted in Figure 5, where the temperatures  
134 have been plotted as a function of the  $x$ -coordinate, whose origin is indicated in Figure 1.  
135 Hence, during operation the temperatures in the barrier decreased from the middle part of the  
136 heater towards the front and the back of the gallery. Also, although it cannot be appreciated in  
137 these Figures, the temperatures in vertical sections around the heater were slightly higher at the  
138 lower part of the bentonite barrier, thanks to the better thermal contact between heater, liner and  
139 bentonite.

140 The operational relative humidity measurements, which are related to the degree of water  
141 saturation of the clay, gave values of 100% at the time of dismantling in the intermediate and  
142 external rings of the barrier. The relative humidity sensors located close to the heater had failed  
143 long before dismantling. The total pressure recordings, which are also related to the degree of  
144 saturation of the bentonite, since swelling pressure tends to increase with rising degree of  
145 saturation, showed at the time of dismantling mostly an increasing trend. The axial pressure at  
146 the shotcrete/bentonite contact as measured by two cells placed in the middle ring of the barrier  
147 was about 6 MPa, similar to the axial pressure measured by a cell placed at the gallery axis  
148 between the back of the dummy canister and the bentonite (section S38 in Figure 3). An axial  
149 pressure close to 6 MPa was recorded at the back of the gallery, between the rock and the  
150 bentonite (section S62). Also, in the middle part of the heater (section S48), the radial pressure  
151 at the rock/bentonite contact was higher than 6 MPa. These values would correspond to the  
152 swelling pressure of saturated bentonite of dry density 1.58-1.61 g/cm<sup>3</sup> (Eq. 1). However, the  
153 cells located in the intermediate ring of sections S42 (front of heater) and S48 (middle of heater)  
154 were recording at the moment of dismantling tangential and radial values between 1 and 2 MPa,  
155 which are far from the equilibrium pressure expected for the average dry density of the barrier  
156 and would confirm that full saturation had not been reached.

#### 4. Dismantling of the bentonite barrier

The bentonite dismantling operations took three months and started after the heater had been switched off for 14 days. Upon heater shutdown the temperatures dropped, and were below 30°C at all points in the barrier when it started to be dismantled. Consequently, when the bentonite sections were dismantled the temperature in them was lower than during operation. In particular, the heater had been switched off between 24 and 97 days before dismantling sections S37 and S61, respectively. The change in temperature during this time was of a few degrees (4-8°C) for the sections farther away from the heater, and up to 80°C in the bentonite closest to the liner in the middle part of the heater. Figure 6 shows the evolution of temperature as measured by the thermocouples placed in instrumented section S54, located at the back end of the heater (Figure 3). During this time changes took probably place in the bentonite, and hence the state observed upon dismantling did not exactly reflect the state of the barrier during operation. This aspect is discussed in 5.3.

Upon removal of the shotcrete plug and exposure of the bentonite slices, it was observed that all the construction gaps between blocks had sealed, both those among blocks of the same section and the gaps between bentonite slices (Figure 2, right). This was evidenced by the difficulty found in separating sampling sections. The granite/bentonite contact was also tight at all locations and the gaps hewn in the blocks to allow for the passing of cables had been completely filled by the swelling of the bentonite. These observations were already done during the partial dismantling after five years operation (Villar et al. 2005, 2006). Another remarkable feature noticed during dismantling was the intrusion of bentonite through the liner holes, particularly in the upper part of the heater, where there was a gap between liner and heater (Figure 7, left). All these observations done during dismantling are documented in detail in Kober & van Meir (2017).

During dismantling, and prior to sampling, the position of the slices with respect to the origin of coordinates (indicated in Figure 1) was measured using a laser distance-meter with an accuracy of  $\pm 5$  mm (García-Siñeriz et al. 2016). These measurements were done at five different points

184 on the surface of the section. The final position of the slices was also checked with a metric tape  
185 fixed to the middle left side of the gallery during installation of the experiment in 1997. These  
186 measurements agreed well with the laser's ones (Villar et al. 2016) and both allowed to check  
187 changes between the installation coordinate of every section (as built) and the final coordinate.  
188 Differences between the two would imply movement of the barrier along the gallery. In fact,  
189 two kinds of movement were detected, one of them probably took place during operation and  
190 the other one during dismantling:

- 191 • Most slices moved towards the entrance of the gallery, particularly those closest to the  
192 shotcrete plug. In the front part of the barrier the displacement was as high as 50 mm and  
193 decreased with distance into the gallery. This displacement towards the gallery entrance  
194 took probably place as the shotcrete plug was demolished and the pressure released. The  
195 axial stresses measured on the shotcrete plug just before the start of the dismantling  
196 operations were 6 MPa (Martínez et al. 2016). Up to approximately the  $x$ -coordinate 14.8 m,  
197 the average displacement was of 20 mm.
- 198 • From that point to the back of the gallery, the slices had moved in the opposite direction,  
199 towards the back of the gallery, more significantly as the slice was closest to the rearmost  
200 part of the gallery. This backward movement, which took place during operation, is  
201 analysed below in 5.2.2.

202 The observations on site confirmed this displacement: the external part of the blocks of the outer  
203 ring showed frequently grooves in the direction of the gallery axis, caused by the friction with  
204 the uneven surface of the granite, whereas the granite surface was covered by a film of bentonite  
205 showing striation parallel to the gallery axis (Figure 7, right). This had an appearance similar to  
206 slickensides observed in geological formations (Kober & van Meir 2017).

207 During dismantling many samples of the different components of the installation (bentonite,  
208 sensors, liner, granite, etc.) were taken and sent to different laboratories for analysis (Bárcena &  
209 García-Siñeriz 2015). Also, for the determination of water content and dry density of the  
210 bentonite on site, in each of the sampling sections shown in Figure 3, samples were taken

211 following six radii separated by  $60^\circ$  and named clockwise from A (the upper radius) to F, as  
212 indicated in Figure 2. The bentonite blocks preceding the sampling radii were removed just  
213 before sampling, in order to prevent changes in the bentonite water content. Each section was  
214 usually sampled within a day. The samples were obtained by drilling the bentonite following a  
215 template with a crown drill bit. In the sections around the liner, six samples were taken along  
216 each radius, and in those without liner, ten or eleven samples were taken along each radius. The  
217 cylindrical samples had a length of 6 cm and a diameter of 4.8 cm. They were immediately  
218 wrapped in plastic foil and taken to an on-site laboratory.

219 The conditions in the service area of the FEBEX gallery during the bentonite dismantling period  
220 were  $86.4 \pm 7.7\%$  for the relative humidity and  $15.8 \pm 0.5^\circ\text{C}$  for the temperature.

## 221 **5. On site measurements**

### 222 **5.1 Methodology**

223 Once in the on-site lab each sample was cut and trimmed into two subsamples each of between  
224 5 and 37  $\text{cm}^3$  volume (average volume 18  $\text{cm}^3$ ) and masses of between 10 and 75 g (average  
225 mass 35 g). The external part of the subsamples that had been in contact with the crown drill bit  
226 was removed and the surfaces smoothed. In each of these subsamples water content and dry  
227 density were determined.

228 The gravimetric water content ( $w$ ) is defined as the ratio between the mass of water and the  
229 mass of dry solid expressed as a percentage. The mass of water was determined as the  
230 difference between the mass of the sample and its mass after oven drying at  $110^\circ\text{C}$  for 48 h  
231 (mass of dry solid). The precision of this measurement is about 0.2%. Dry density ( $\rho_d$ ) is  
232 defined as the ratio between the mass of the dry sample and the volume occupied by it prior to  
233 drying. The volume of the specimens was determined by immersing them in a vessel containing  
234 mercury and by weighing the mercury displaced, considering for the calculation of volume a  
235 mercury density of  $13.6 \text{ g/cm}^3$ . The precision of this measurement is between 0.01 and 0.02

236 g/cm<sup>3</sup>. The same samples whose volumes had been determined were used for the water content  
237 determination (García-Siñeriz et al. 2016).

## 238 **5.2 Results**

239 Some representative results obtained on site are presented below, plotted for each sampling  
240 section as a function of the distance to the gallery axis. In these plots, the values obtained in the  
241 two subsamples per core are shown. The average values of these two subsamples were used to  
242 obtain the 2-D plots for water content, dry density and degree of saturation of the sections.  
243 These plots were obtained with the contour mapping software Surfer® using the Kriging  
244 gridding method.

### 245 **5.2.1 Vertical cross sections**

246 The water content at all points in the barrier, even those close to the heater, was higher than the  
247 initial one, i.e. greater than 14%. As an example, Figure 8 and Figure 9 show the water content  
248 and dry density measured in sections S49 and S58, respectively. The first one was located  
249 around the middle part of the heater, where, according to the sensors measurements, the  
250 temperature during operation was approximately between 100 and 36°C (Figure 4). S58 was  
251 located at 132 cm from the back of the heater, and consequently the temperatures in this section  
252 during operation were lower and more homogeneous (Figure 5). The two figures show that  
253 overall, the six radii sampled in each section yielded the same water content and dry density  
254 distribution, which reveals the radial symmetry around the axis of the gallery for these state  
255 properties. The same observation was done in all the other sections sampled, in most of them  
256 the differences among the six sampled radii were negligible, particularly in terms of water  
257 content. This feature would also confirm that the gaps between blocks were not preferential  
258 pathways for water, which was already checked by detailed measurements during the partial  
259 dismantling in 2002 (Villar et al. 2005, 2006). The higher water content and lower dry density  
260 of the external part of some radii could be related to granite geological features (veins, fractures)  
261 that could have supplied more water. On the other hand, the higher densities measured in radii

262 D and E (and slightly lower water contents) in section S49 (and S45, see below) were likely  
263 related to the higher temperature at the lower part of the barrier, where there was a better  
264 thermal contact, and consequently heat conduction, between heater, liner and bentonite.

265 The radial symmetry of these distribution patterns allows interpolating isolines in 2-D graphs,  
266 such as those shown in Figure 10 and Figure 11, where the water content and dry density,  
267 respectively, in a hot and a cold section can be seen. The reason for these strong gradients is the  
268 high swelling capacity of the bentonite: the external part of the barrier, in contact with the  
269 granite, took first water and swelled, pushing towards the rigid granite and generating a swelling  
270 pressure that, at the moment of dismantling was about 5 MPa at the rock/clay contact. At the  
271 same time the expanding bentonite pressed also inwards, where the clay was more deformable.  
272 The pressure inwards reduced the void ratio of the internal part of the barrier. As might be  
273 expected, the bentonite swelled also in the longitudinal direction, along the gallery axis, an  
274 aspect discussed in the following chapter. Around the heater the increase in dry density was  
275 enhanced by the water loss and associated shrinkage. The water from the hottest areas would  
276 migrate in the vapour phase towards cooler parts of the barrier and condense in the middle part  
277 of it. This is the reason why the water content and density gradients were more noticeable in  
278 those sections affected by the heater. The lower water content around the heater was identifiable  
279 upon dismantling as lighter colours of the internal ring of the barrier. The inwards radial  
280 movement of the barrier was also evinced during dismantling by the intrusion of bentonite  
281 through the liner holes (Figure 7, left).

282 From the contour plots of each sampling section the average values of each parameter have been  
283 computed by the mapping software and are shown in Table 1. Besides, taking into account the  
284 radial symmetry of the water content and dry density distributions, the average values of these  
285 variables in a vertical section have been obtained by fitting polynomial functions to represent  
286 their variation with the distance to the gallery axis, following the procedure used by Daucausse  
287 & Lloret (2002) and published in Villar et al. (2005). The values obtained are also shown in  
288 Table 1. The two methodologies gave similar values, with differences below the accuracy of the

289 methods used to determine water content and dry density. The degrees of saturation computed  
290 taking for the bentonite a solid specific weight of  $2.70 \text{ g/cm}^3$  and a density for the adsorbed  
291 water of  $1 \text{ g/cm}^3$  are also shown in the Table.

292 The values in the Table highlight the lower average water content and higher dry density of the  
293 sections around the heater (S43 to S52), as well as the decrease of dry density towards the back  
294 of the gallery. Figure 12 shows a direct comparison of the water content and dry density  
295 measured in a section around the heater (S45) and away from it (S58). The data are the same as  
296 those plotted in Figure 8 and Figure 9. It is remarkable that the water content in the external part  
297 of the barrier, the 20 cm closest to the granite, was only slightly higher in the cold section than  
298 in the section around the heater, whereas the main difference between the two was found in the  
299 internal part of the barrier, where the water contents of the cold section were significantly  
300 higher. The same kind of difference was observed concerning dry density. The larger  
301 divergence between the dry densities of the two sections occurred in the internal part of the  
302 barrier, although the densities in the hot section for a given distance to the gallery axis were in  
303 all cases higher than those in the cold section. This can be related to the density changes along  
304 the gallery observed in Table 1: the overall dry density of the barrier decreased towards the back  
305 of the gallery, and section S58 was located much closer to the rear part of the gallery than  
306 section S45. These longitudinal changes are discussed in the following section.

### 307 **5.3.2 Longitudinal sections**

308 Thanks to the even distribution of sampling sections along the axis of the gallery (Figure 3) it  
309 was possible to draw contour maps of longitudinal sections along the gallery axis for water  
310 content and dry density. Figure 13 and Figure 14 show vertical longitudinal sections for water  
311 content and dry density, respectively. These longitudinal profiles show clearly the lower water  
312 content and higher dry density around the heater discussed in the previous section, but also that  
313 the back of the gallery had the highest water contents and the lowest dry densities. The highest  
314 dry densities were found around the rear half of the heater, whereas around the dummy canister  
315 dry densities below the average of the barrier were observed. From these contour plots the

316 average values for each parameter can be computed. According to these values, the final  
317 average water content, dry density and degree of saturation of the entire clay barrier would be  
318 25.5%, 1.59 g/cm<sup>3</sup> and 97%, respectively.

319 The longitudinal inhomogeneities are highlighted when the values in Table 1 are plotted as a  
320 function of the  $x$ -coordinate (Figure 15). As noted previously, the highest water content and  
321 lowest dry density were found at the back of the gallery. The fact that the gallery had a concave  
322 shape at its rear part made it difficult to fill it with bentonite blocks during installation of the  
323 barrier. As a result the percentage of construction voids in the area was very high: 37% for the  
324 three bentonite slices placed first *vs.* an average along the barrier of 5.5%. This would have  
325 contributed to the conditions observed at the back of the test tunnel, since the higher porosity  
326 would have allowed a larger volume of water to be taken. Also, the hydration surface at the  
327 back of the gallery was larger, because the whole granite circular surface was supplying water,  
328 which would have made the initial hydration quicker. At the same time, the bentonite slices  
329 neighbouring those at the back of the gallery, i.e. those with an initial gap volume similar to that  
330 in the rest of the experiment but away from the influence of the heater, upon initial water intake,  
331 would have swollen preferentially towards the back of the gallery, where the void volume was  
332 larger and the clay more deformable. These slices would be those located approximately  
333 between  $x$ -coordinates 800 and 870 mm, i.e. between sampling sections S58 and S61, and in this  
334 region a sharp decrease in dry density towards the back of the gallery took place, as can be  
335 observed in Figure 14 and Figure 15. As commented above, the movement of these slices  
336 towards the back of the gallery was confirmed by the difference between the initial  $x$ -coordinate  
337 measured during installation and the one measured during dismantling.

338 On the other hand, the lowest water content and highest dry density were found around the  
339 heater, particularly in its middle part, and at the bottom where the temperatures were slightly  
340 higher during operation. Clearly, the thermal gradient hindered, or at least delayed, saturation.  
341 The effect of thermal gradient affected the water content and dry density distribution in vertical  
342 sections around the heater, as has already been discussed above, but also conditioned the

343 changes in porosity and water content along the longitudinal direction, away from the two  
344 heater ends, since there was also a thermal gradient from the heater ends towards the back and  
345 the front of the gallery (Figure 5).

346 Towards the shotcrete plug the water content tended to be higher than in the regions farther into  
347 the gallery, which could be because these sections had been subjected to heating during the 1<sup>st</sup>  
348 operational phase. Because of hysteresis effects, the water retention capacity of a material  
349 previously submitted to drying can be higher (Villar 2002). Also, some additional hydration  
350 with the water in the shotcrete could have taken place during the plug installation. Several  
351 factors could have contributed to the dry density decrease observed at the front of the barrier.  
352 On the one hand, this part of the barrier could have slightly moved towards the gallery entrance  
353 during the partial dismantling in 2002. But mostly the density decrease in this area could be  
354 related to the net 5-cm displacement of the bentonite slices towards the gallery entrance  
355 prompted by the shotcrete plug demolition in 2015 and the consequent stress release. In both  
356 cases the displacement of the bentonite slices was checked by measuring the x-coordinate and  
357 comparing it to the one measured for the same slices during installation.

### 358 **5.3 Assessment of results**

359 The bentonite dismantling operations took three months and started after the heater had been  
360 switched off for 14 days. During this time changes took place in the bentonite, and the state  
361 observed upon dismantling did not exactly reflect the state of the barrier during operation. The  
362 different processes that could have affected the barrier from shutdown to the water content and  
363 dry density determinations have to be identified, assessed and taken into account in the final  
364 evaluation. Thus, when analysing the water distribution in the barrier it has to be taken into  
365 account that when the sections were dismantled the temperature in them was lower than the  
366 temperatures during operation. This temperature change had surely an impact on the water  
367 distribution around the heater, where water in the vapour phase would condense because of  
368 cooling. Since the internal part of the barrier closest to the heater was not completely saturated,  
369 water movement from the external and middle, saturated part of the barrier towards the drier

370 inner part would be feasible and driven by the suction potential. This was already observed  
371 during the first dismantling, when relative humidity sensors were still working near the heater  
372 and the increase in relative humidity in this area upon switching-off was recorded (Villar et al.  
373 2005, 2006). Because no relative humidity or suction sensors were working close to the heater  
374 during the final dismantling, it was not possible to evaluate the extent of this water  
375 redistribution, but it is very likely that the water content close to the heater was lower at the time  
376 of heater shutdown than was measured during dismantling. Nevertheless, the change in water  
377 content distribution upon heater shutdown would have been lower after eighteen years than after  
378 five years of operation, because the degree of saturation was much higher in the first case and  
379 the pore space available for water movement smaller.

380 Concerning the potential changes in the barrier dry density, the demolition of the shotcrete plug  
381 implied a release of stresses and an expansion of the bentonite towards the front of the gallery  
382 that could have yielded lower density values in the first sections sampled (sections S37 to S43)  
383 than the actual ones during operation. This effect attenuated towards the back of the gallery and  
384 probably did not affect the rest of the sections.

385 As well, sampling through core drilling and the trimming to prepare the subsamples for water  
386 content and density determination would introduce an additional decrease in dry density that  
387 would affect all the samples, but particularly those of higher water content. Hence, it is probable  
388 that the overall as-built dry density (and consequently degree of saturation) of the barrier was  
389 higher than the one measured.

## 390 **6. Summary and conclusions**

391 The FEBEX *in situ* experiment was a full-scale test reproducing the near-field of a nuclear  
392 waste repository performed at the Grimsel Test Site (GTS, Switzerland). The barrier was  
393 composed of FEBEX bentonite blocks. The thermal effect of the heat-generating canisters was  
394 simulated by means of two heaters whose surface temperatures were set to 100°C, whereas  
395 hydration was natural by the granitic groundwater. The heating stage of the test began in 1997.

396 After five years of operation, half of the experiment was dismantled. The remaining part of the  
397 experiment continued running until 2015, when the final complete dismantling of the  
398 experiment was undertaken. Numerous samples of bentonite were taken in selected sections  
399 evenly distributed along the gallery for the on-site determination of dry density and water  
400 content. The main results obtained have been presented and discussed in this paper.

401 The on-site measurements showed that the physical state of the barrier after eighteen years of  
402 operation was very much affected by the processes to which it had been subjected, namely  
403 hydration from the granite and/or thermal gradient. The patterns observed are summarised  
404 below:

405 • All the gaps between blocks were sealed, both those among blocks of the same section and  
406 the gaps between adjacent bentonite sections. There was no effect of the vertical gaps  
407 between bentonite slices on the water content and dry density distribution, which proves  
408 that they were not preferential water pathways. The granite/bentonite contact was tight at all  
409 locations and the openings carved in the blocks for the passing of cables had been  
410 completely filled by the swelling of the bentonite. This was already observed during the  
411 partial dismantling after five years operation. **The water availability at the test site (both in  
412 the liquid and the vapour phase) was enough to allow for quick swelling of the external part  
413 of the barrier. In turn, the quick swelling avoided preferential paths to remain open.**

414 • The water content and dry density in every section followed a radial distribution around the  
415 axis of the gallery, with the water content decreasing from the granite towards the axis of  
416 the gallery and the dry density following the inverse pattern. The water content and density  
417 gradients were more noticeable in those sections affected by the heater.

418 • The measurements of the  $x$ -coordinate of the bentonite slices showed that the slices closest  
419 to the shotcrete plug moved towards the entrance of the gallery, which is assumed to have  
420 happened as the shotcrete plug was demolished and the swelling pressure (about 6 MPa at  
421 the shotcrete/bentonite interface) released. The net forward displacement of the slices  
422 decreased towards the back of the gallery. The sections of blocks at the back of the gallery

423 located beyond the heater moved in the opposite direction, probably during the operation  
424 phase and in response to the less densely installed buffer and construction gaps at the back  
425 of the gallery.

426 • There were also significant changes in dry density and water content along the axis of the  
427 tunnel:

428 – The bentonite in the rear-most portion of the gallery contained the highest water  
429 contents and the lowest dry densities. This was most probably caused by a larger  
430 volume of construction gaps, which resulted in a lower installation density, a condition  
431 that remained to some extent to the end of operation.

432 – The highest dry densities were found around the rear half of the heater and at its lower  
433 part, where the temperatures were higher and the end-of-test water content lowest.

434 – Around the dummy canister dry densities below the average of the barrier were found.  
435 This density decrease was related to the displacement of the slices towards the gallery  
436 entrance upon plug demolition and pressure release. The bentonite around the dummy  
437 canister had also been subjected to high thermal gradient during the 1<sup>st</sup> operational  
438 phase but it was cool during the 2<sup>nd</sup> operational phase, which may have also affected its  
439 condition.

440 When analysing the state of the barrier observed at the time of dismantling, the processes that  
441 could have taken place between heater shutdown and the on-site measurements need to be  
442 considered, in case the state of the barrier could have experienced changes with respect to the  
443 actual one during operation. Thus, upon switching-off of the heater the barrier cooled down and  
444 the thermal gradient disappeared. Hence, the water content of the bentonite in contact with the  
445 heater was probably lower during operation than the values measured in the course of  
446 dismantling, because of the possibility of water transfer triggered by cooling. Conversely, the  
447 water content of the middle barrier ring in these areas could have been slightly higher during  
448 operation than that measured. Additionally, the dry density and degree of saturation of the front

449 sections may have been higher during FEBEX operation than those measured, because of the  
450 decompression and expansion of the bentonite experienced upon plug demolition. Finally,  
451 sampling and trimming induced a decrease in the bentonite dry density and consequently, the  
452 average dry density and degree of saturation of the barrier would be actually higher than the  
453 measurements indicated. Nevertheless, the best estimates of the final average water content, dry  
454 density and degree of saturation for the whole bentonite barrier were 25.5%, 1.59 g/cm<sup>3</sup> and  
455 97%, respectively. The final average dry density along the barrier was lower than the initial  
456 average value of 1.61 g/cm<sup>3</sup> (average value for the half of the experiment remaining in place  
457 during the 2<sup>nd</sup> operational phase). This is attributed to the slight decompression suffered by the  
458 barrier on dismantling and to the sampling procedures. The intrusion of bentonite into the void  
459 between liner and heater could also have contributed to the decrease in the average dry density  
460 of the barrier.

461 These results highlight the expansive potential of the bentonite, and its adequate performance  
462 for a long period of time, even under thermal gradient. At the same time, the water content and  
463 dry density gradients generated as a consequence of hydration and heating have proved to be  
464 persistent, and maybe irreversible, since in this particular case, they were already observed after  
465 five years of operation and have kept for other thirteen additional years, despite the fact that the  
466 degree of saturation was overall quite high. Hence, a barrier of an initially homogeneous dry  
467 density ended up having important inhomogeneities in terms of dry density and water content.  
468 This could indicate that the volume changes induced during the initial saturation were  
469 irreversible. Villar & Lloret (2007) stated that, according to laboratory tests with untreated  
470 samples interpreted by generalised plasticity models (Lloret et al. 2003) and provided that the  
471 net stresses in the barrier are not higher than the bentonite swelling pressure, these macroscopic  
472 changes would be irreversible and the density heterogeneity through the barrier would remain.

473 These gradients have not impaired the performance of the barrier, but imply that the bentonite  
474 barrier can be inhomogeneous and this will have a repercussion on its thermo-hydro-mechanical

475 properties, since most of them (thermal conductivity, swelling pressures, permeability, water  
476 retention, among others) depend greatly on the density and water content of the bentonite.

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534

535 **Table 1: Average properties for each section as computed from the contour plots and the**  
536 **fitting of polynomial functions (see Figure 3 for location of sections)**

<i>x</i> -coordinate (mm)	Sampling section	Contour plots			Polynomial functions		
		<i>w</i> (%)	$\rho_d$ (g/cm <sup>3</sup> )	<i>S<sub>r</sub></i> (%)	<i>w</i> (%)	$\rho_d$ (g/cm <sup>3</sup> )	<i>S<sub>r</sub></i> (%)
8455	S37	28.3	1.55	103	27.9	1.56	103
9214	S39	27.7	1.54	100	27.3	1.56	100
10107	S43	27.3	1.59	106	27.0	1.59	105
11112	S45	25.0	1.59	97	24.9	1.60	97
12265	S49	25.0	1.60	98	25.0	1.60	98

x-coordinate (mm)	Sampling section	Contour plots			Polynomial functions		
		w (%)	$\rho_d$ (g/cm <sup>3</sup> )	$S_r$ (%)	w (%)	$\rho_d$ (g/cm <sup>3</sup> )	$S_r$ (%)
13413	S52	24.8	1.59	95	25.0	1.59	97
14555	S56	26.1	1.57	98	26.2	1.57	98
15695	S58	27.2	1.55	98	26.9	1.55	98
16870	S61	32.7	1.46	103	32.4	1.46	103

537

538

539 **Figure captions**

540 Figure 1: Initial configuration of the FEBEX *in situ* test (dimensions in m). The arrow  
541 indicates the area dismantled in 2002 (modified from AITEMIN *et al.* 1998)

542 Figure 2: Initial (1997) and final (2015) appearance of the bentonite barrier around the  
543 heater (the circles on the right picture indicate the sampling positions)

544 Figure 3: General layout of the *in situ* test during the 2<sup>nd</sup> operational phase and location  
545 along the gallery of the sampling sections used for bentonite water content and dry  
546 density on-site determinations

547 Figure 4: Steady temperatures measured during operation by thermocouples located in  
548 different instrumented sections (see Figure 3 for location of sections)

549 Figure 5: Steady temperatures along the gallery axis measured during operation by  
550 thermocouples located in different instrumented sections. The distance of the sensors to

551 the gallery axis is indicated in the legend. The position of the sampling sections along  
552 the gallery is indicated by thick dotted vertical lines

553 Figure 6: Evolution of temperatures ( $^{\circ}\text{C}$ ) in Section S54 (references and distances to  
554 gallery axis of each sensor indicated in the legend) during a time period from before the  
555 heater switching-off to just before dismantling of the section (modified from Martínez  
556 et al. 2016)

557 Figure 7: Appearance of the void left after extraction of Heater #2 showing the  
558 bentonite intruded through the liner holes (left) and bentonite adhered to granite  
559 showing striation parallel to the axis of the gallery (indicated by an arrow, right)

560 Figure 8: Water content and dry density measured in subsamples taken along the six  
561 sampling radii in section S49

562 Figure 9: Water content and dry density measured in subsamples taken along the six  
563 sampling radii in section 58

564 Figure 10: Contour map for water content in section S45 (left) and S56 (right)

565 Figure 11: Contour map for dry density in section S45 (left) and S56 (right)

566 Figure 12: Comparison of the water content and dry density in a section around the  
567 heater and away from it

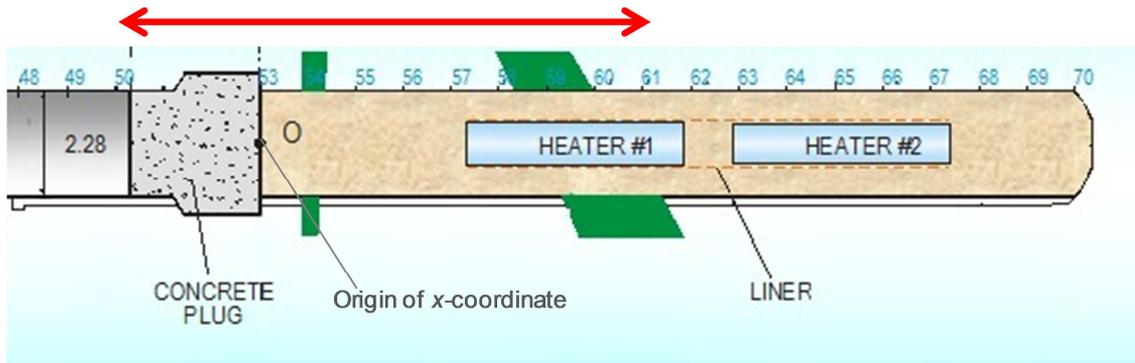
568 Figure 13: Contour plot of water content in the vertical longitudinal section

569 Figure 14: Contour plot of dry density in the vertical longitudinal section

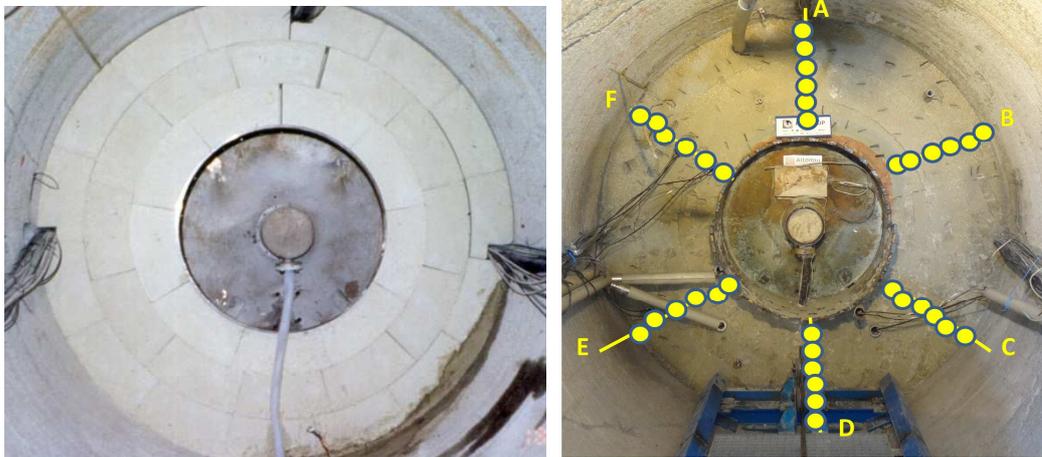
570 Figure 15: Average water content (w.c.) and dry density (d.d.) for the sections sampled  
571 along the barrier as computed from the polynomial functions (Table 1)

572

573



**Figure 1:** Initial configuration of the FEBEX *in situ* test (dimensions in m). The arrow indicates the area dismantled in 2002 (modified from AITEMIN *et al.* 1998)



**Figure 2:** Initial (1997) and final (2015) appearance of the bentonite barrier around the heater (the circles on the right picture indicate the sampling positions)

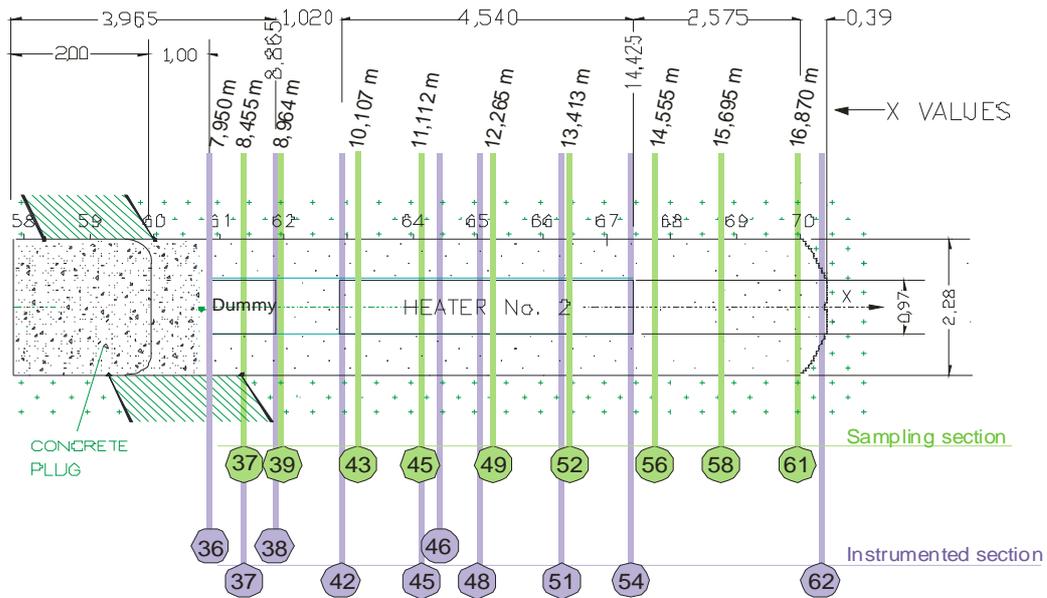


Figure 3: General layout of the *in situ* test during the 2<sup>nd</sup> operational phase and location along the gallery of the sampling sections used for bentonite water content and dry density on-site determinations

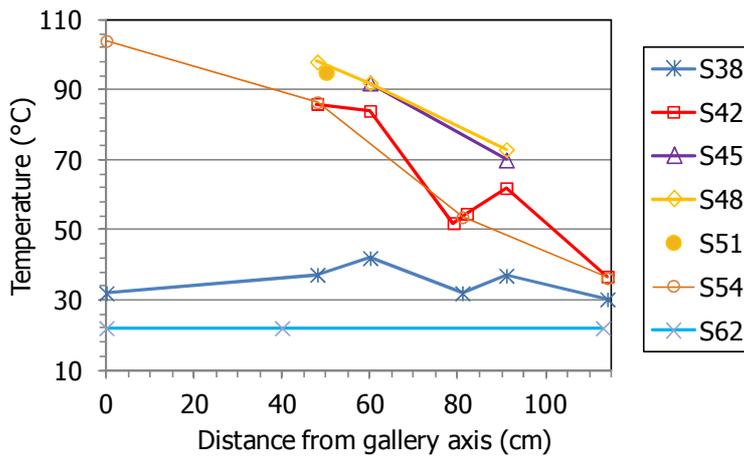


Figure 4: Steady temperatures measured during operation by thermocouples located in different instrumented sections (see Figure 3 for location of sections)

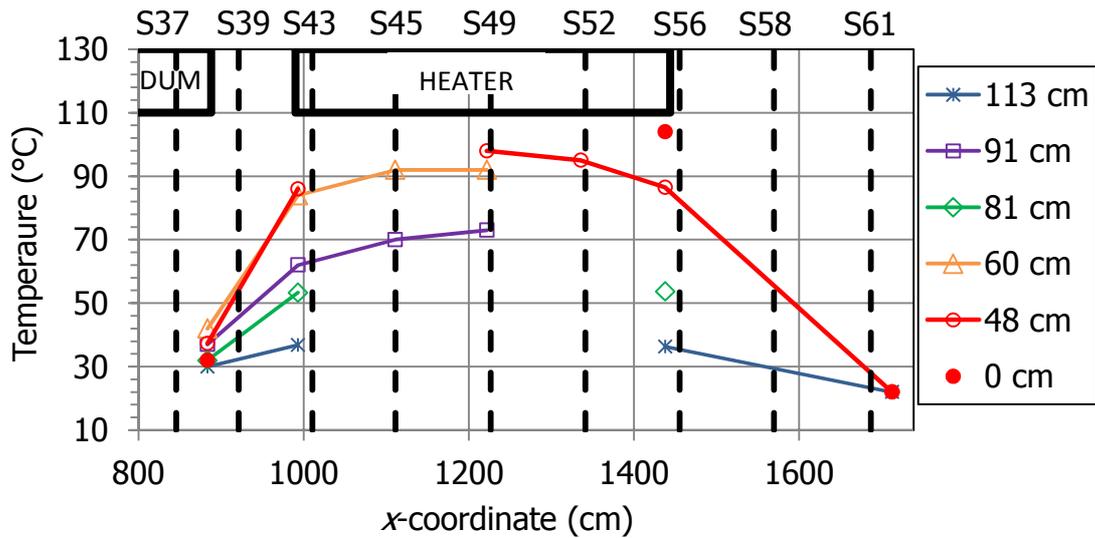


Figure 5: Steady temperatures along the gallery axis measured during operation by thermocouples located in different instrumented sections. The distance of the sensors to the gallery axis is indicated in the legend. The position of the sampling sections along the gallery is indicated by thick dotted vertical lines

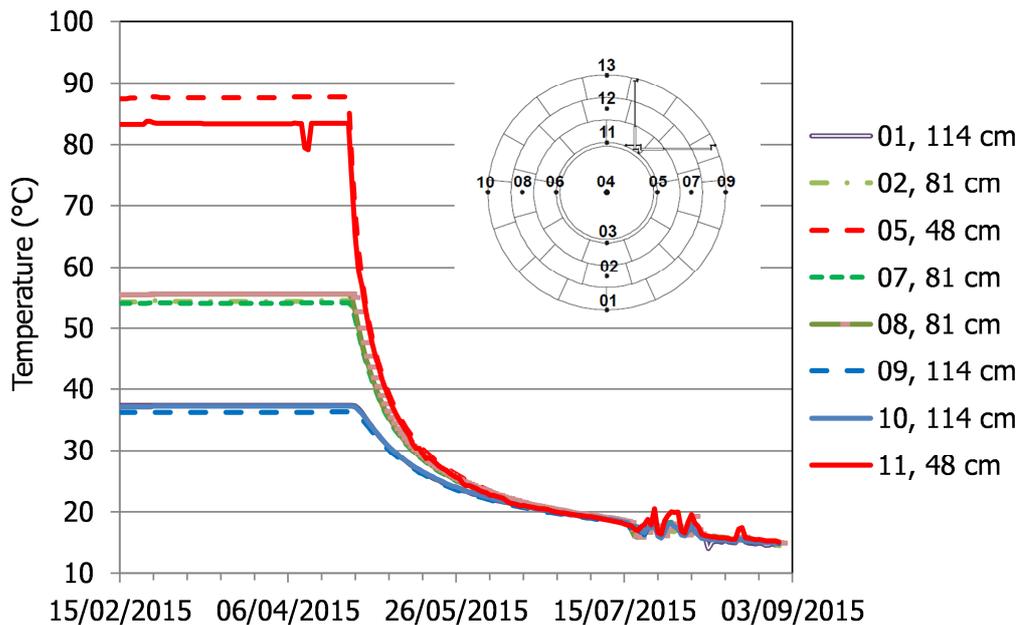


Figure 6: Evolution of temperatures (°C) in Section S54 (references and distances to gallery axis of each sensor indicated in the legend) during a time period from before the heater switching-off to just before dismantling of the section (modified from Martínez et al. 2016)



Figure 7: Appearance of the void left after extraction of Heater #2 showing the bentonite intruded through the liner holes (left) and bentonite adhered to granite showing striation parallel to the axis of the gallery (indicated by an arrow, right)

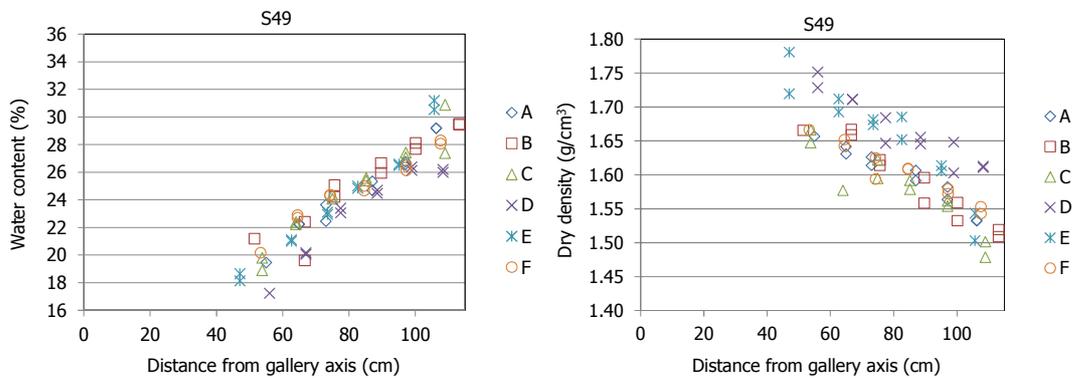


Figure 8 Water content and dry density measured in subsamples taken along the six sampling radii in section S49

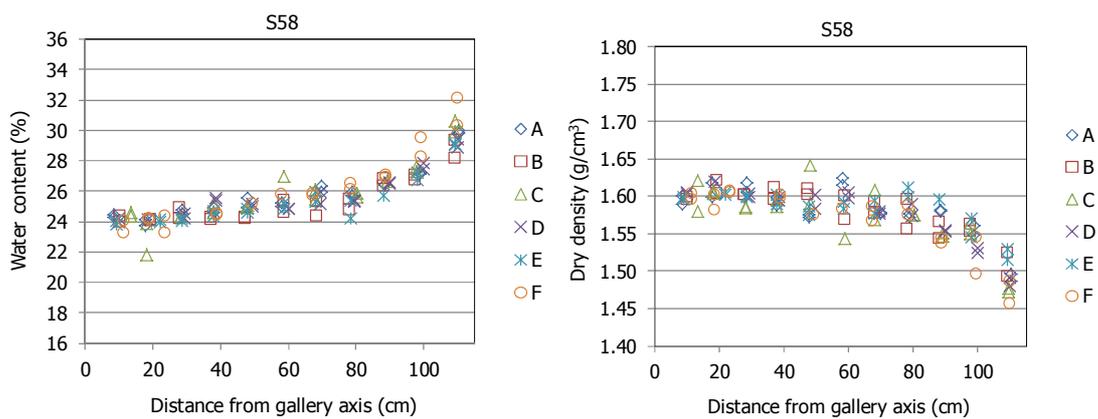


Figure 9 Water content and dry density measured in subsamples taken along the six sampling radii in section 58

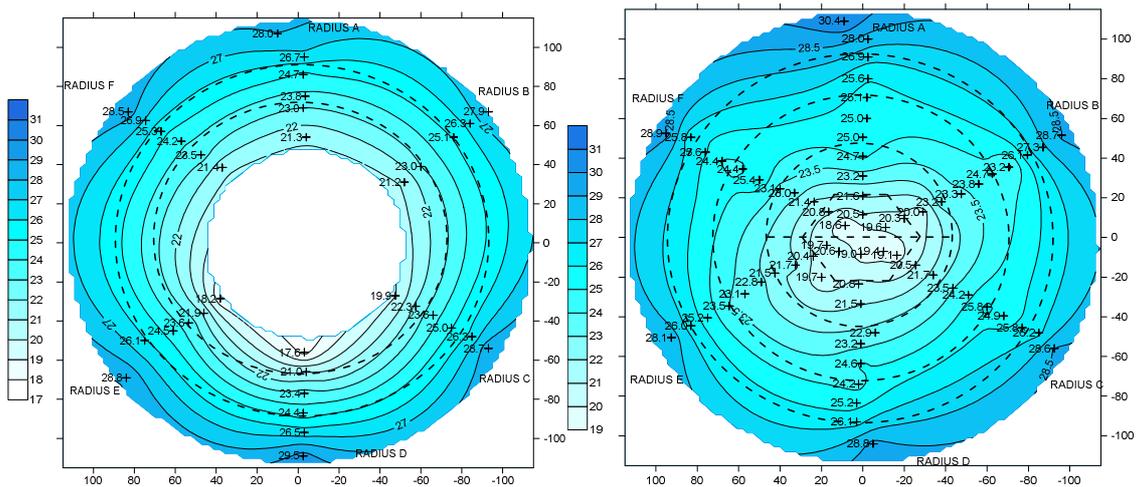


Figure 10: Contour map for water content in section S45 (left) and S56 (right)

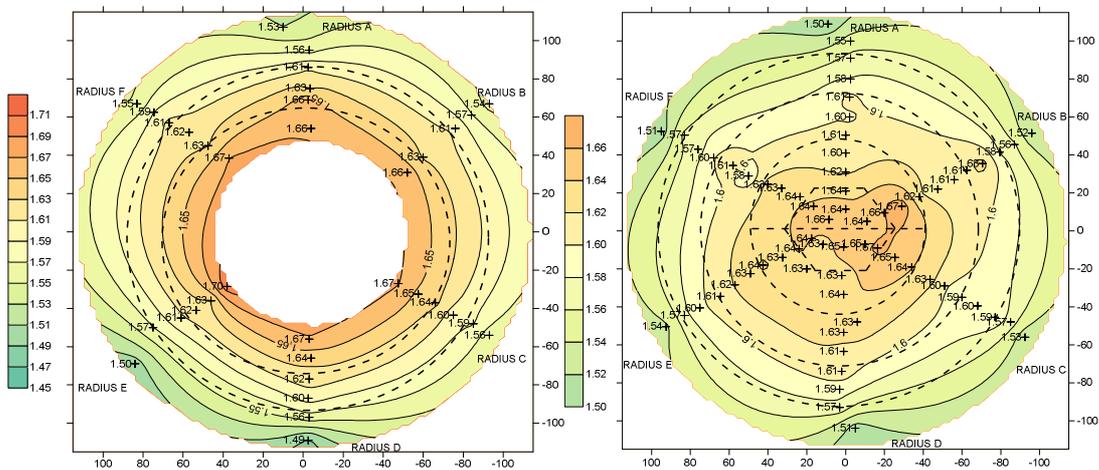


Figure 11: Contour map for dry density in section S45 (left) and S56 (right)

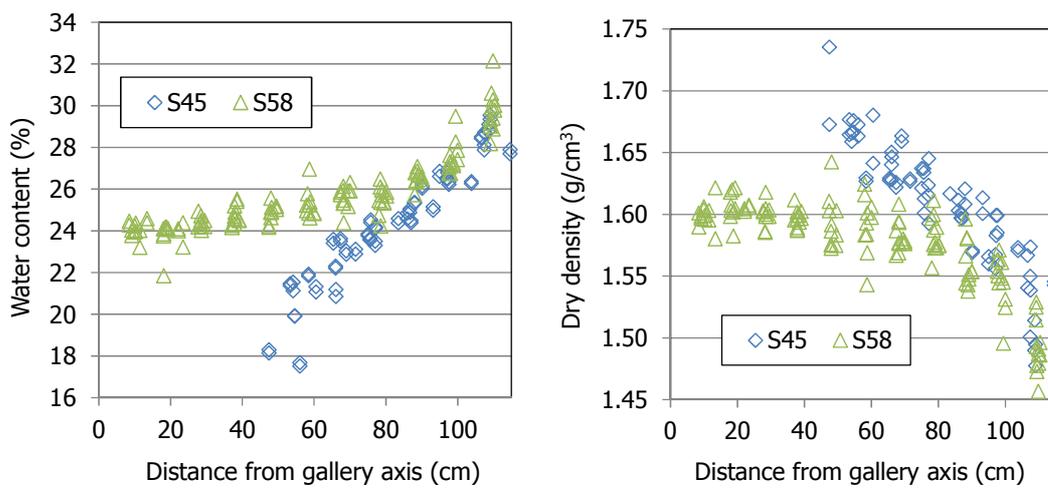


Figure 12: Comparison of the water content and dry density in a section around the heater and away from it

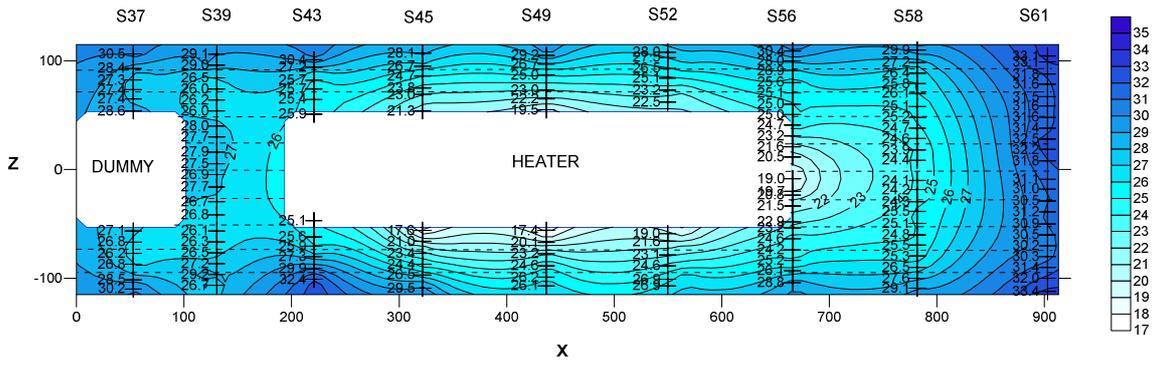


Figure 13: Contour plot of water content in the vertical longitudinal section

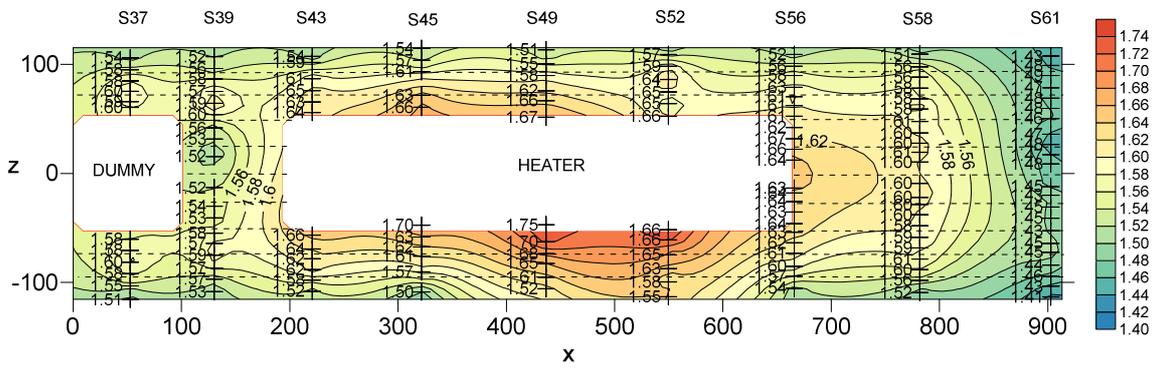


Figure 14: Contour plot of dry density in the vertical longitudinal section

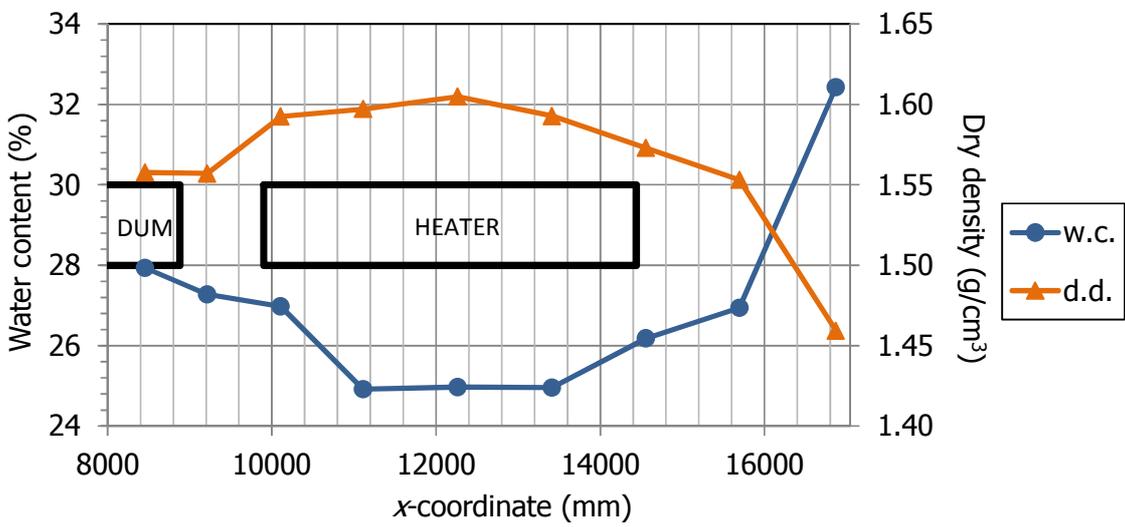


Figure 15 Average water content (w.c.) and dry density (d.d.) for the sections sampled along the barrier as computed from the polynomial functions (Table 1)